Sarda Energy & Minerals Ltd.

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CIN: L27100MH1973PLC016617

Format of holding of specified securities

Name of Listed Entity:

Scrip Code :

Share Holding Pattern Filed under : Reg. 31(1)(a)/Reg.31(1)(b)/Reg.31 (1) (c)

a.If under 31 (1)(b) then indicate the report for quarter ending :

b. If under 31(1)(c) then indicate date of allotment/extinguishment

Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars	Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?		NO
2 Whether the Listed Entity has issued any Convertible Securities ?		NO
3 Whether the Listed Entity has issued any Warrants?		NO
4 Whether the Listed Entity has any shares against which depository receipts are issued?		NO
5 Whether the Listed Entity has any shares in locked-in?		NO
6 Whether any shares held by promoters are pledge or otherwise encumbered?		NO
7 Whether company has equity shares with differential voting rights?		NO

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

8 The tabular format for disclosure of holding of specified securities is as follows:-

Sarda Energy & Minerals Ltd.

504614 (BSE), SARDAEN (NSE) Equity Shares

31(1)(b) 30.09.2018

Table I - Summary statement holding of specified securities

Categ	Category of shareholder (II)	Nos of	No of fully paid-	No of	No of shares	Total nos.	Shareholding as	Number of Votir	g Rights	held in each class	of securities	No of shares	Shareholding , as a %	Numbe	er of Locked in	Number o	f shares pledged or	Number of
ory (l)		shareholde	up equity shares	Partly	underlying	shares held (VII)	a % of total no.		(IX)			Underlying	assuming full conversion	n shares (XII)		otherwise encumbared (XIII)		
		rs (III)	held (IV)	paid-up		= (IV)+(V)+(VI)	of					Outstanding	of convertible securities					held in
					Receipts (VI)		shares(calculate					convertible	(as a percentage of					dematerilized
				shares			d as per SCRR,	No of	Voting	Rights	Total as a %	securities	diluted share capital)	No. (a)	As a % of total	No. (a)	As a % of total	form (XIV)
				held (V)			1957) (VIII) As a	Class eg: X	Class	Total	of (A+B+C)	(Including	(XI)=(VII)+(X) As a % of		shares held		shares held (b)	
							% of (A+B+C2)		eg: Y			Warrants) (X)	(A+B+C2)		(b)			
(A)	Promoter & Promoter Group	21	26134360			26134360	72.50	26134360	0	26134360	72.50							26134360
(B)	Public	21414	9914875			9914875	27.50	9914875	0	9914875	27.50							9574670
(C)	Non Promoter- Non Public	0	0			0	0	0	0	0	0							0
(C1)	Shares underlying DRs																	
(C2)	Shares held by Employee Trusts																	
	Total	21435	36049235			36049235	100.00	36049235	0	36049235	100.00	0	0	0	0			35709030

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the shareholders ()	Nos of sharehold er (III)	No of fully paid- up equity shares held (IV)	Partly paid-up equity shares	No of shares underlying Depository		Shareholding as a % of total no. of shares(calcul	Number of Voting	Rights h	eld in each class of s	securities (IX)	No of shares Underlying Outstanding convertible	Shareholding , as a % assuming full conversion of convertible		er of Locked in ares (XII)	pledged	er of shares or otherwise bared (XIII)	Number of equity shares held in dematerilized form (XIV)
			held (V)	(V) Receipts		ated as per	No of	Voting R	tights	securities	securities (as a	No. (a)	As a % of	No. (a)	As a % of		
				(VI)		As a % of	Class eg: X Equity	Class eg: Y	Total	Total Voting Rights	(Including Warrants)	percentage of diluted share		total shares held (b)		total shares held (b)	
						(A+B+C2) (VIII)		5			(X)	capital) (XI)=(VII)+(X) as a % of (A+B+C2)					
(1) Indian																	
(a) Individuals/H.U.F	17	7907397			7907397	21.93	7907397		7907397	21.93							7907397
1 GHANSHYAM RAMKISHORE SARDA		1392378			1392378	3.86	1392378		1392378	3.86							1392378
2 KAMAL KISHORE SARDA		1309149			1309149	3.63	1309149		1309149	3.63							1309149
3 JULGALKISHORE RAMKISHORE SARDA		1172365			1172365	3.25	1172365		1172365	3.25							1172365
4 SHAKUNTALA SARDA		1014518			1014518	2.81	1014518		1014518	2.81							1014518
5 PANKAJ SARDA		691107			691107	1.92	691107		691107	1.92							691107
6 VEENADEVI GHANSHYAM SARDA		702350			702350	1.95	702350		702350	1.95				1			702350
7 UMA SARDA		489425			489425	1.36	489425		489425	1.36							489425
8 PREMLATA JUGALKISHORE SARDA		468910			468910	1.30	468910		468910	1.30				1			468910
9 KAMAL KISHORE RATHI		6000			6000	0.02	6000		6000	0.02				1			6000
10 ANANT SARDA ANANT		49140			49140	0.14	49140		49140	0.14							49140
11 JUGAL KISHORE SARDA (HUF)		21716			21716	0.06	21716		21716	0.06							21716
12 SHASHI RATHI		19467			19467	0.05	19467		19467	0.05							19467
13 MANISH J SARDA		237570			237570	0.66	237570		237570	0.66							237570
14 ADITYA GHANSHYAM SARDA		112840			112840	0.31	112840		112840	0.31							112840
15 RAGHAV SARDA		112840			112840	0.31	112840		112840	0.31							112840
16 NEERAJ JUGALKISHORE SARDA		98490			98490	0.27	98490		98490	0.27							98490
17 ADITI GHANSHYAM SARDA		9132			9132	0.03	9132		9132	0.03							9132
(b) Cental/State Government(s)																	
(c) Financial Institutions/Banks																	
Name (xyz)																	
(d) Any Other (Specify)																	
Name (xyz)																	
i) Bodies Corporate	4	18226963			18226963	50.56	18226963		18226963	50.56							18226963
1 CHHATTISGARH INVESTMENTS LTD		13878760			13878760	38.50	13878760		13878760	38.50							13878760
2 SARDA AGRICULRURE & PROP. PVT. LTD		2635150			2635150	7.31	2635150		2635150	7.31							2635150
3 PRACHI AGRICULTURE & PROP. PVT. LTD		1565322			1565322	4.34	1565322		1565322	4.34							1565322
4 CHHATTISGARH INVESTMENTS LTD (Firm)		147731			147731	0.41	147731		147731	0.41							147731
Sub- Total (A)(1)	21	26134360			26134360	72.50	26134360		26134360	72.50							26134360
(2) Foreign																	
Individuals (Non-Resident Individuals/ Foreign																	
a) Individuals)																	
Name (xyz)																	
b) Government																	
Name (xyz)																	
c) Institutions													ļ				
Name (xyz)	L'		L														
d) Foreign Portfolio Investor	<u> </u>																
Name (xyz)													ļ				
(e) Any Other (Specify)													ļ				
Name (xyz)													ļ				
Sub- Total (A)(2)	0	0			0	0	0		0	0							0
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)	21	26134360			26134360	72.50	26134360		26134360	72.50							26134360

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

Table III - Statement showing shareholding pattern of the Public Shareholder

	Category & Name of the shareholders (I)	Nos of shareholde r (III)	No of fully paid- up equity shares held (IV)	Partly paid-up equity shares held (V)	No of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholdi ng as a % of total no. of shares(calc ulated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	No	g Rights he of Voting R Class eg: Y	ights	Total as a % of Total Voting Rights	Underlying	Total Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	olding , as uming full erstion of ties (as a ntage of ed share				held in dematerilized form (XIV)
(1)	Institutions																	
(a)	Mutual Fund/UTI																	
	i) ICICI Prudential Dynamic Plan																	
(b)	Venture Capital Funds													0	0			
	Name (xyz)																	
(c)	Alternate Investment Funds													0	0			
	Name (xyz)																	
(d)	Foreign Venture Capital Investors													0	0			
	Name (xyz)																	
(e)	Foreign Portfolio Investors	23	841074			841074	2.33	84107	4	841074	2.33			0	0			841074
	Name (xyz)																	
(f)	Financial Institutions Banks	6	39890			39890	0.11	3989	0 0	39890	0.11			0	0			37390
	Name (xyz)																	
(g)	Insurance Companies	1	250			250	0.00	25	0 0	250	0.00			0	0			0
(h)	Provident Funds/Pension Funds																	
	Name (xyz)																	
(i)	Any Other (specify)																	
1)	Foreign Institutional Investors	1	6644			6644	0.02	664	4 0	6644	0.02			0	0			6644
	Sub- Total (B)(1)	31	887858	0	0	887858	2.46	88785	8 0	887858	2.46	0	0	0	0	C	0	885108
(2)	Central Government/State Government(s)/President of India																	
	Sub- Total (B)(2)																	

(3)	Non-Institutions													
	Individuals - i.Individual													
	shareholders holding nominal share capital up to Rs.2 lakhs.				10.11								1	
	ii.Individual shareholders holding nominal share	20430	4490083	 4490083	12.46	4490083	0	4490083	12.46	() ()	├───┼	4170418
	capital in excess of Rs.2 lakhs.	26	1351375	1351375	3.75	1351375	0	1351375	3.75	() ()		1351375
(b)	NBFCs registered with RBI	1	320	320	0.00	320	0	320	0.00	() ()		320
	Name (xyz)													
(c)	Employee Trust													
	Name (xyz)													
(d)	Overseas Depositories (holding DRs) (balancing figure)													
	Name (xyz)													
e)	Any Other (pl. specify)													
	i) Directors & Directors Relatives	17	119860	119860	0.33	119860	0	119860	0.33	() ()		112019
	ii) Bodies Corporate	313	1333216	1333216	3.70	1333216	0	1333216	3.70	() ()		1323267
	iii) Individual NRI- Rep Non Rep.	470	201849	201849	0.56	201849	0	201849	0.56	() ()		201849
	Iv) Overseas Corporate Bodies													
	a) Asia Minerals Ltd.	1	1084891	1084891	3.01	1084891	0	1084891	3.01	() (0 0	0	1084891
	v) Clearing Member	122	316876	316876	0.88	316876	0	316876	0.88	() (0 0	0	316876
	vi) Trusts	2	1250	1250	0.00	1250	0	1250	0.00	() (0 0	0	1250
	vii) Investor Education & Protection Fund	1	127297	127297	0.35	127297	0	127297	0.35	() ()		127297
	Sub- Total (B)(3)	21383	9027017	9027017	25.04	9027017	0.00	9027017	25.04	() (0 0	0.00	8689562
	Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)	21414	9914875	9914875	27.50	9914875	0	9914875	27.50			0	0	9574670

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the name of following persons: Institutions/Non Institutions holding more than 1% of total number of shares.

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian,

Table IV - Statement showing shareholding pattern of the Non Promoter-Non Public Shareholder

	Category & Name of the shareholders (I)	PAN (II)	Nos of shareholde r (III)	No of fully paid-up equity	Partly paid-up equity	underlying		as a % of total no. of	C	of Votin class of se		s (IX)		Total Shareholding , as a % assuming full conversion of		r of Locked in ares (XII)	n Number of shares pledged or otherwise encumbared (XIII)		shares held
				shares held (IV)	shares held (V)	Depository Receipts (VI)	= (IV)+(V)+(VI)	shares(calculat ed as per SCRR, 1957) As a % of (A+B+C2) (VIII)	No of Class eg:	Voting Ri Class eg: Y	ights Total	Total as a % of Total Voting Rights	convertible securities (Including Warrants) (X)	conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+(2))	No.		No. (Not applicabl e)	As a % of total shares held (Not applicable)	in dematerilize d form (XIV)
(1)	Custodian/DR Holder		0	0	0	0	0	0	0		0	0	0	0	0	0		NA	0
(a)	Name of DR Holder (If available)																	NA	
(i)	abc																	NA	
(ii)	efg																	NA	
(2)	Employee Benefit Trust (Under SEBI (Share based Employee Benefit) Regulations, 2014)																	NA	
	Name (abc																	NA	
	Total Non-Promoter - Non Public Shareholding (C)=(C)(1)+(C)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0		NA	0
(2) Th	N would not be displayed on websit e above format needs to disclose na .r.t. the information pertaining to De	me of all h	olders holdin	•				s to the extent in	formatior	n availabl	e,					1	L		