

Sarda Energy & Minerals Ltd.

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CIN: L27100MH1973PLC016617

Format of holding of specified securities

Name of Listed Entity:

Sarda Energy & Minerals Ltd.

Scrip Code :

504614 (BSE), SARDAEN (NSE)
Equity Shares

Share Holding Pattern Filed under : Reg. 31(1)(a)/Reg.31(1)(b)/Reg.31 (1) (c)

31(1)(b)

a.If under 31 (1)(b) then indicate the report for quarter ending :

30.06.2020

b.If under 31(1)(c) then indicate date of allotment/extinguishment

Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars		Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		NO
2	Whether the Listed Entity has issued any Convertible Securities ?		NO
3	Whether the Listed Entity has issued any Warrants ?		NO
4	Whether the Listed Entity has any shares against which depository receipts are issued?		NO
5	Whether the Listed Entity has any shares in locked-in?		NO
6	Whether any shares held by promoters are pledge or otherwise encumbered?		NO
7	Whether company has equity shares with differential voting rights?		NO
8	Whether Company has any significant beneficial owner?		NO

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

8 The tabular format for disclosure of holding of specified securities is as follows:-

Table I - Summary statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos of shareholders (III)	No of fully paid-up equity shares held (IV)	No of Partly paid-up equity shares held (V)	No of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying Outstanding convertible securities (Including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total shares held (b)	No. (a)		As a % of total shares held (b)
								Class eg: X	Class eg: Y	Total								
(A)	Promoter & Promoter Group	18	26134360			26134360	72.50	26134360	0	26134360	72.50						26134360	
(B)	Public	20334	9914875			9914875	27.50	9914875	0	9914875	27.50						9628744	
(C)	Non Promoter- Non Public	0	0			0	0	0	0	0	0						0	
(C1)	Shares underlying DRs																	
(C2)	Shares held by Employee Trusts																	
	Total	20352	36049235			36049235	100.00	36049235	0	36049235	100.00	0	0	0			35763104	

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the shareholders (I)	PAN (II)	Nos of shareholder (III)	No of fully paid-up equity shares held (IV)	Partly paid-up equity shares held (V)	No of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares(calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying Outstanding convertible securities (Including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) as a % of (A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights					Total as a % of Total Voting Rights	No. (a)	As a % of total shares held (b)	No. (a)		As a % of total shares held (b)
									Class eg: X Equity	Class eg: Y	Total								
(1)	Indian																		
(a)	Individuals/H.U.F		14	7907397			7907397	21.93	7907397	7907397	21.93	0	21.93						7907397
1	GHANSHYAM RAMKISHORE SARDA	AKBPS4388J		1392378			1392378	3.86	1392378	1392378	3.86	0	3.86						1392378
2	KAMAL KISHORE SARDA	AJUPS3910R		2323667			2323667	6.45	2323667	2323667	6.45	0	6.45						2323667
3	PANKAJ SARDA	AKBPS4387H		691107			691107	1.92	691107	691107	1.92	0	1.92						691107
4	VEENADEVI GHANSHYAM SARDA	AJUPS9072J		702350			702350	1.95	702350	702350	1.95	0	1.95						702350
5	UMA SARDA	AKBPS4386G		489425			489425	1.36	489425	489425	1.36	0	1.36						489425
6	KAMAL KISHORE RATHI	ACUPR2688D		6000			6000	0.02	6000	6000	0.02	0	0.02						6000
7	ANANT SARDA ANANT	CXRPS2033M		49140			49140	0.14	49140	49140	0.14	0	0.14						49140
8	JUGAL KISHORE SARDA (HUF)	AAAHJ8423Q		21716			21716	0.06	21716	21716	0.06	0	0.06						21716
9	SHASHI RATHI	ACTPR4490A		19467			19467	0.05	19467	19467	0.05	0	0.05						19467
10	MANISH J SARDA	AFUPS0401A		990618			990618	2.75	990618	990618	2.75	0	2.75						990618
11	ADITYA GHANSHYAM SARDA	DHCPS7787C		112840			112840	0.31	112840	112840	0.31	0	0.31						112840
12	RAGHAV SARDA	DDPPS1907F		112840			112840	0.31	112840	112840	0.31	0	0.31						112840
13	NEERAJ JUGALKISHORE SARDA	AFPCS4977H		986717			986717	2.74	986717	986717	2.74	0	2.74						986717
14	ADITI GHANSHYAM SARDA	BHDPS0512E		9132			9132	0.03	9132	9132	0.03	0	0.03						9132
(b)	Central/State Government(s)																		
(c)	Financial Institutions/Banks																		
	Name (xyz)																		
(d)	Any Other (Specify)																		
	Name (xyz)																		
(j)	Bodies Corporate		4	18226963			18226963	50.56	18226963	18226963	50.56	0	50.56						18226963
1	CHHATTISGARH INVESTMENTS LTD	AAACC9266D		13878760			13878760	38.50	13878760	13878760	38.50	0	38.50						13878760
2	SARDA AGRICULTURE & PROP. PVT. LTD	AADCS2857D		2635150			2635150	7.31	2635150	2635150	7.31	0	7.31						2635150
3	PRACHI AGRICULTURE & PROP. PVT. LTD	AABCP3701H		1565322			1565322	4.34	1565322	1565322	4.34	0	4.34						1565322
4	CHHATTISGARH INVESTMENTS LTD (Firm)	AAGFC7301Q		147731			147731	0.41	147731	147731	0.41	0	0.41						147731
	Sub- Total (A)(1)		18	26134360			26134360	72.50	26134360	26134360	72.50	0	72.50						26134360
(2)	Foreign																		
a)	Individuals (Non-Resident Individuals/ Foreign Individuals)																		
	Name (xyz)																		
b)	Government																		
	Name (xyz)																		
c)	Institutions																		
	Name (xyz)																		
d)	Foreign Portfolio Investor																		
	Name (xyz)																		
(e)	Any Other (Specify)																		
	Name (xyz)																		
	Sub- Total (A)(2)		0	0			0	0	0	0	0								0
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		18	26134360			26134360	72.50	26134360	26134360	72.50								26134360

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

(3) Non- Institutions																
(a) Individuals -																
i.Individual shareholders holding nominal share capital up to Rs.2 lakhs.	18921	4772030		4772030	13.24	4772030	0	4772030	13.24	0	13.24	0	0			4499974
ii.Individual shareholders holding nominal share capital in excess of Rs.2 lakhs.	25	1660153		1660153	4.61	1660153	0	1660153	4.61	0	4.61	0	0			1660153
(b) NBFCs registered with RBI	0	0		0	0.00	0	0	0	0.00	0	0.00	0	0			0
Name (xyz)																
(c) Employee Trust																
Name (xyz)																
(d) Overseas Depositories (holding DRs) (balancing figure)																
Name (xyz)																
(e) Any Other (pl. specify)																
i) Directors & Directors Relatives	17	119860		119860	0.33	119860	0	119860	0.33	0	0.33	0	0	0	0.00	112019
ii) Bodies Corporate	199	1399628		1399628	3.88	1399628	0	1399628	3.88	0	3.88	0	0	0	0	1393744
iii) Individual NRI- Rep.- Non Rep.	418	289740		289740	0.80	289740	0	289740	0.80	0	0.80	0	0	0	0	289740
iv) Overseas Corporate Bodies																
a) Asia Minerals Ltd.	1	684891		684891	1.90	684891	0	684891	1.90	0	1.90	0	0	0	0	684891
v) Clearing Member	106	223212		223212	0.62	223212	0	223212	0.62	0	0.62	0	0	0	0	223212
vi) Trusts	3	2200		2200	0.01	2200	0	2200	0.01	0	0.01	0	0	0	0	2200
vii) Investor Education & Protection Fund	1	161906		161906	0.45	161906	0	161906	0.45	0	0.45	0	0	0	0	161906
viii) HUF	630	480613		480613	1.33	480613		480613	1.33	0	1.33	0	0	0	0	480613
Sub- Total (B)(3)	20321	9794233		9794233	27.17	9794233	0.00	9794233	27.17	0	27.17	0	0	0	0.00	9508452
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)	20334	9914875		9914875	27.50	9914875	0	9914875	27.50	0	27.50	0	0	0	0	9628744

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %): NIL

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the name of following persons: Institutions/Non Institutions holding more than 1% of total number of shares.

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian,

Table IV - Statement showing shareholding pattern of the Non Promoter-Non Public Shareholder

Category & Name of the shareholders (I)	PAN (II)	Nos of shareholder (III)	No of fully paid-up equity shares held (IV)	Partly paid-up equity shares held (V)	No of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares(calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying Outstanding convertible securities (Including Warrants) (X)	Total Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No of Voting Rights		Total as a % of Total Voting Rights			No.	As a % of total shares held	No. (Not applicable)	As a % of total shares held (Not applicable)	
								Class eg: X	Class eg: Y								
(1) Custodian/DR Holder		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(a) Name of DR Holder (If available)																	
(i) abc.....																	
(ii) efg.....																	
(2) Employee Benefit Trust (Under SEBI (Share based Employee Benefit) Regulations, 2014)																	
(a) Name (abc.....																	
Total Non-Promoter - Non Public Shareholding (C)=(C)(1)+(C)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available,