Sarda Energy & Minerals Ltd.

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Format of holding of specified securities

Name of Listed Entity:

Scrip Code:

Scrip Code:

Surda Energy & Minerals Ltd.

South (BSE), SARDAEN (NSE) Equity Shares

Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg.31(1)(b)/Reg.31(1)(c)

a. If under 31 (1)(b) then indicate the report for quarter ending:

30.06.2017

Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	
1	Whether the Listed Entity has issued any partly paid up shares?	
2	Whether the Listed Entity has issued any Convertible Securities?	
3	Whether the Listed Entity has issued any Warrants?	
4	Whether the Listed Entity has any shares against which depository receipts are issued?	
5	Whether the Listed Entity has any shares in locked-in?	
6	Whether any shares held by promoters are pledge or otherwise encumbered?	
7	Whether company has equity shares with differential voting rights?	

Yes*	No*
	NO

^{*} If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

8 The tabular format for disclosure of holding of specified securities is as follows:-

b. If under 31(1)(c) then indicate date of allotment/extinguishment

Table I - Summary statement holding of specified securities

Cate	Category of shareholder (II)	Nos of	No of fully paid-	No of	No of shares	Total nos.	Shareholding as	Number of Voting Rights held in each class of se				No of shares	Shareholding, as a %	Numbe	er of Locked in	Number o	f shares pledged or	Number of
ory (l			up equity shares		, ,	, ,	a % of total no.	(IX)				Underlying	assuming full conversion	shares (XII)		otherwise encumbared (X		equity shares
		rs (III)	held (IV)			= (IV)+(V)+(VI)						Outstanding	of convertible securities	;				held in
					Receipts (VI)		shares(calculate					J	convertible (as a percentage of					dematerilized
				shares			d as per SCRR,	No of	Voting	Rights	Total as a %	securities	diluted share capital)	No. (a)	As a % of total	No. (a)	As a % of total	form (XIV)
				held (V)			1957) (VIII) As a % of (A+B+C2)	Class eg: X	Class	Total	of (A+B+C)	(Including Warrants) (X)	(XI)=(VII)+(X) As a % of (A+B+C2)		shares held		shares held (b)	
							/6 OI (A+D+02)		eg: Y			waitailts) (A)	(АТВТО2)		(b)			
(A)	Promoter & Promoter Group	21	25874733			25874733	71.81	25874733	0	25874733	71.81							25874733
(B)	Public	18032	10155665			10155665	28.19	10155665	0	10155665	28.19							9675523
(C)	Non Promoter- Non Public	0	0			0	0	0	0	0	0							0
(C1)	Shares underlying DRs																	
(C2)	Shares held by Employee Trusts																	
	Total	18053	36030398			36030398	100.00	36030398	0	36030398	100.00	0	0	0	0			35550256

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the shareholders (I) Nosshare er (No of fully paid- up equity shares held (IV)	Partly paid-up equity shares	No of shares underlying Depository	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares(calcul	Number of Voting	Rights h	eld in each class of	securities (IX)	No of shares Underlying Outstanding convertible	a % assuming full conversion of convertible	sh	er of Locked in ares (XII)	pledged	er of shares or otherwise bared (XIII)	Number of equity shares held in dematerilized form (XIV)
				held (V)	Receipts (VI)		ated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	No of Class eg: X Equity	Voting F Class eg: Y	Total	Total as a % of Total Voting Rights	securities (Including Warrants) (X)	securities (as a percentage of diluted share capital) (XI)=(VII)+(X) as a % of (A+B+C2)	No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)	
(1)	Indian																	
	Individuals/H.U.F	17	7907397			7907397	21.95	7907397		7907397	21.95							7907397
1	GHANSHYAM RAMKISHORE SARDA	.,	1392378			1392378	3.86	1392378		1392378	3.86							1392378
2	KAMAL KISHORE SARDA		1309149			1309149	3.63	1309149		1309149	3.63							1309149
3	JULGALKISHORE RAMKISHORE SARDA		1172365			1172365	3.25	1172365		1172365	3.25							1172365
4	SHAKUNTALA SARDA		1014518			1014518	2.82	1014518		1014518	2.82							1014518
5	PANKAJ SARDA		691107			691107	1.92	691107		691107	1.92							691107
6	VEENADEVI GHANSHYAM SARDA		702350			702350	1.95	702350		702350	1.95							702350
7	UMA SARDA		489425			489425	1.36	489425		489425	1.36		1	l				489425
8	PREMLATA JUGALKISHORE SARDA		468910			468910	1.30	468910		468910	1.30							468910
9	KAMAL KISHORE RATHI		6000			6000	0.02	6000		6000	0.02							6000
10	ANANT SARDA ANANT		49140			49140	0.14	49140		49140	0.14							49140
11	JUGAL KISHORE SARDA (HUF)		21716			21716	0.06	21716		21716	0.06							21716
12	SHASHI RATHI		19467			19467	0.05	19467		19467	0.05							19467
13	MANISH J SARDA		237570			237570	0.66	237570		237570	0.66							237570
14	ADITYA GHANSHYAM SARDA		112840			112840	0.31	112840		112840	0.31							112840
15	RAGHAV SARDA		112840			112840	0.31	112840		112840	0.31							112840
16	NEERAJ JUGALKISHORE SARDA		98490			98490	0.27	98490		98490	0.27							98490
17	ADITI GHANSHYAM SARDA		9132			9132	0.03	9132		9132	0.03							9132
(b)	Cental/State Government(s)																	
(c)	Financial Institutions/Banks																	
	Name (xyz)																	
(d)	Any Other (Specify)																	
	Name (xyz)																	
	i) Bodies Corporate	4	17967336			17967336	49.87	17967336		17967336	49.87							17967336
1	CHHATTISGARH INVESTMENTS LTD		13663760			13663760	37.92	13663760		13663760	37.92							13663760
2	SARDA AGRICULRURE & PROP. PVT. LTD		2635150			2635150	7.31	2635150		2635150	7.31							2635150
3	PRACHI AGRICULTURE & PROP. PVT. LTD		1565322			1565322	4.34	1565322		1565322	4.34							1565322
4	CHHATTISGARH INVESTMENTS LTD (Firm)		103104			103104	0.29	103104		103104	0.29							103104
	Sub- Total (A)(1)	21	25874733			25874733	71.81	25874733		25874733	71.81							25874733
(2)	Foreign																	
,	Individuals (Non-Resident Individuals/ Foreign																	
a)	Individuals)															ļ		
L.,	Name (xyz)																	
b)	Government												-	<u> </u>			1	
	Name (xyz)			 										 			-	
c)	Institutions																	
4/	Name (xyz)		-									-		-			-	
d)	Foreign Portfolio Investor																	
(0)	Name (xyz)		-									-		<u> </u>			-	
(e)	Any Other (Specify)																	
-	Name (xyz)	0	0			0	0	0			0	-		<u> </u>			-	
\vdash	Sub- Total (A)(2) Total Shareholding of Promoter and Promoter Group	U	U			U	U	U		U	0							U
	(A)=(A)(1)+(A)(2)	21	25874733			25874733	71.81	25874733		25874733	71.81							25874733

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Noto

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

Table III - Statement showing shareholding pattern of the Public Shareholder

	Category & Name of the shareholders (I)	PAN (II)		No of fully paid- up equity shares held (IV)	Partly paid-up equity shares held (V)	No of shares underlying Depository Receipts (VI)	held (VII) = (IV)+(V)+(VI)	ng as a % of total no. of shares(calc ulated as per SCRR		Voting R Class eg: Y	ights	Total as a % of Total Voting Rights	No of shares Underlying Outstanding convertible securities (Including Warrants) (X)	Total Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	in sl	er of Locked hares (XII) As a % of total shares held (b)	pledged encum	er of shares or otherwise pared (XIII) As a % of total shares held (Not applicable)(b)	Number of equity shares held in dematerilized form (XIV)
(1)	Institutions																		
(a)	Mutual Fund/UTI		2	121687			121687	0.34	121687	0	121687	0.34			0	0			121037
	i) ICICI Prudential Dynamic Plan																		
(b)	Venture Capital Funds														0	0			
	Name (xyz)																		
(c)	Alternate Investment Funds														0	0			
	Name (xyz)																		
(d)	Foreign Venture Capital Investors														0	0			
	Name (xyz)																		
(e)	Foreign Portfolio Investors		16	635319			635319	1.76	635319		635319	1.76			0	0			635319
	Name (xyz)																		
(f)	Financial Institutions Banks		7	60161			60161	0.17	60161	0	60161	0.17			0	0			57561
	Name (xyz)																		
(g)	Insurance Companies		1	250			250	0.00	250	0	250	0.00			0	0			0
(h)	Provident Funds/Pension Funds																		
	Name (xyz)	•																	
(i)	Any Other (specify)																		
1)	Foreign Institutional Investors		3	74842			74842	0.21	74842	0	74842	0.21			0	0			74842
	Sub- Total (B)(1)		29	892259	0	0	892259	2.48	892259	0	892259	2.48	0	0	0	0	0	0	888759
(2)	Central Government/State Government(s)/President of India	·																	
	Name (xyz)		0	0			0	0							0	0			0
	Sub- Total (B)(2)		0	0			0	0.00							0	0			0

	Non- Institutions]	Ī				Ī
(a)	Individuals - shareholders holding nominal share capital up to Rs.2 lakhs.		17196	4323175		4323175	12.00	4323175	0	4323175	12.00		0	0			3865573
	ii.Individual shareholders holding nominal share capital in excess of Rs.2 lakhs.		26	988064		988064	2.74	988064	0	988064	2.74		0	0			988064
(b)	NBFCs registered with RBI		3	2521		2521	0.01	2521	0	2521	0.01		0	0			2521
	Name (xyz)																
(c)	Employee Trust																
	Name (xyz)																
(d)	Overseas Depositories (holding DRs) (balancing figure)																
	Name (xyz)																
e)	Any Other (pl. specify)																
	i) Directors & Directors Relatives		17	106015		106015	0.29	106015		106015	0.29		0	0			98174
	ii) Bodies Corporate		320	1701502		1701502	4.72	1701502	0	1701502	4.72		0	0			1690303
	iii) Individual NRI- Rep Non Rep.		260	176540		176540	0.49	176540	0	176540	0.49		0	0			176540
	Iv) Overseas Corporate Bodies																
	a) Asia Minerals Ltd.	AAJCA0097M	1	1804891		1804891	5.01	1804891	0	1804891	5.01		0	0	(0	1804891
	v) Clearing Member		180	160698		160698	0.45	160698	0	160698	0.45		0	0	(0	160698
	Sub- Total (B)(3)		18003	9263406		9263406	25.71	9263406	0.00	9263406	25.71		0	0	(0.00	8786764
	Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		18032	10155665		10155665	28.19	10155665	0	10155665	28.19		0	0	(0.00	9675523

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to be disclosed along with the name of following persons: Institutions/Non Institutions holding more than 1% of total number of shares.
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian,

Table IV - Statement showing shareholding pattern of the Non Promoter-Non Public Shareholder

	Category & Name of the shareholders (I)	PAN (II)	Nos of shareholde r (III)	No of fully paid-up equity shares held (IV)	Partly paid-up equity shares held (V)	No of shares underlying Depository Receipts (VI)	=	as a % of total	No of	class of s Voting R	ecuritie		Underlying Outstanding	Total Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(XI) As a	No.	er of Locked in ares (XII) As a % of total shares held	pledged encumb	or of shares or otherwise pared (XIII) As a % of total shares held (Not applicable)	Number of equity shares held in dematerilize d form (XIV)
() Custodian/DR Holder		0	0	0	0	0	0	0		0	0	0	0	0	0		NA	0
(2	Name of DR Holder (If available)																	NA	
() abc																	NA	
(i) efg																	NA	
(2	2) Employee Benefit Trust (Under SEBI (Share based Employee Benefit) Regulations, 2014)																NA		
(8	n) Name (abc																	NA	
	Total Non-Promoter - Non Public Shareholding (C)=(C)(1)+(C)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0		NA	0

Note:

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to disclose name of all holders holding more than 1% of total number of shares
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available,