

Sarda Energy & Minerals Ltd.

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CIN: L27100MH1973PLC016617

Format of holding of specified securities

Name of Listed Entity:

Sarda Energy & Minerals Ltd.

Scrip Code :

504614 (BSE), SARDAEN (NSE)
Equity Shares

Share Holding Pattern Filed under : Reg. 31(1)(a)/Reg.31(1)(b)/Reg.31 (1) (c)

31(1)(b)

a.If under 31 (1)(b) then indicate the report for quarter ending :

30.09.2017

b. If under 31(1)(c) then indicate date of allotment/extinguishment

Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars
1	Whether the Listed Entity has issued any partly paid up shares?
2	Whether the Listed Entity has issued any Convertible Securities ?
3	Whether the Listed Entity has issued any Warrants ?
4	Whether the Listed Entity has any shares against which depository receipts are issued?
5	Whether the Listed Entity has any shares in locked-in?
6	Whether any shares held by promoters are pledge or otherwise encumbered?
7	Whether company has equity shares with differential voting rights?

Yes*	No*
	NO
	NO
	NO
	NO
	NO
	NO
	NO

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

8 The tabular format for disclosure of holding of specified securities is as follows:-

Table I - Summary statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos of shareholders (III)	No of fully paid-up equity shares held (IV)	No of Partly paid-up equity shares held (V)	No of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares(calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying Outstanding convertible securities (Including Warrants) (X)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total shares held (b)	No. (a)		As a % of total shares held (b)
								Class eg: X	Class eg: Y	Total								
(A)	Promoter & Promoter Group	21	25919360			25919360	71.90	25919360	0	25919360	71.90						25919360	
(B)	Public	17737	10129875			10129875	28.10	10129875	0	10129875	28.10						9650788	
(C)	Non Promoter- Non Public	0	0			0	0	0	0	0	0						0	
(C1)	Shares underlying DRs																	
(C2)	Shares held by Employee Trusts																	
	Total	17758	36049235			36049235	100.00	36049235	0	36049235	100.00	0	0				35570148	

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the shareholders (I)	Nos of shareholder (III)	No of fully paid-up equity shares held (IV)	Partly paid-up equity shares held (V)	No of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares(calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares Outstanding convertible securities (Including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) as a % of (A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of Total Voting Rights	No. (a)	As a % of total shares held (b)	No. (a)		As a % of total shares held (b)
								Class eg: X Equity	Class eg: Y	Total								
(1)	Indian																	
(a)	Individuals/H.U.F	17	7907397			7907397	21.93	7907397		7907397	21.93						7907397	
1	GHANSHYAM RAMKISHORE SARDA		1392378			1392378	3.86	1392378		1392378	3.86						1392378	
2	KAMAL KISHORE SARDA		1309149			1309149	3.63	1309149		1309149	3.63						1309149	
3	JULGALKISHORE RAMKISHORE SARDA		1172365			1172365	3.25	1172365		1172365	3.25						1172365	
4	SHAKUNTALA SARDA		1014518			1014518	2.81	1014518		1014518	2.81						1014518	
5	PANKAJ SARDA		691107			691107	1.92	691107		691107	1.92						691107	
6	VEENADEVJI GHANSHYAM SARDA		702350			702350	1.95	702350		702350	1.95						702350	
7	UMA SARDA		489425			489425	1.36	489425		489425	1.36						489425	
8	PREMLATA JUGALKISHORE SARDA		468910			468910	1.30	468910		468910	1.30						468910	
9	KAMAL KISHORE RATHI		6000			6000	0.02	6000		6000	0.02						6000	
10	ANANT SARDA ANANT		49140			49140	0.14	49140		49140	0.14						49140	
11	JUGAL KISHORE SARDA (HUF)		21716			21716	0.06	21716		21716	0.06						21716	
12	SHASHI RATHI		19467			19467	0.05	19467		19467	0.05						19467	
13	MANISH J SARDA		237570			237570	0.66	237570		237570	0.66						237570	
14	ADITYA GHANSHYAM SARDA		112840			112840	0.31	112840		112840	0.31						112840	
15	RAGHAV SARDA		112840			112840	0.31	112840		112840	0.31						112840	
16	NEERAJ JUGALKISHORE SARDA		98490			98490	0.27	98490		98490	0.27						98490	
17	ADITI GHANSHYAM SARDA		9132			9132	0.03	9132		9132	0.03						9132	
(b)	Central/State Government(s)																	
(c)	Financial Institutions/Banks																	
	Name (xyz)																	
(d)	Any Other (Specify)																	
	Name (xyz)																	
(j)	Bodies Corporate	4	18011963			18011963	49.96	18011963		18011963	49.96						18011963	
1	CHHATTISGARH INVESTMENTS LTD		13663760			13663760	37.90	13663760		13663760	37.90						13663760	
2	SARDA AGRICULTURE & PROP. PVT. LTD		2635150			2635150	7.31	2635150		2635150	7.31						2635150	
3	PRACHI AGRICULTURE & PROP. PVT. LTD		1565322			1565322	4.34	1565322		1565322	4.34						1565322	
4	CHHATTISGARH INVESTMENTS LTD (Firm)		147731			147731	0.41	147731		147731	0.41						147731	
	Sub- Total (A)(1)	21	25919360			25919360	71.90	25919360		25919360	71.90						25919360	
(2)	Foreign																	
a)	Individuals (Non-Resident Individuals/ Foreign Individuals)																	
	Name (xyz)																	
b)	Government																	
	Name (xyz)																	
c)	Institutions																	
	Name (xyz)																	
d)	Foreign Portfolio Investor																	
	Name (xyz)																	
(e)	Any Other (Specify)																	
	Name (xyz)																	
	Sub- Total (A)(2)	0	0			0	0	0		0	0						0	
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)	21	25919360			25919360	71.90	25919360		25919360	71.90						25919360	

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

Table III - Statement showing shareholding pattern of the Public Shareholder

Category & Name of the shareholders (I)	Nos of shareholder (II)	No of fully paid-up equity shares held (IV)	Partly paid-up equity shares held (V)	No of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying Outstanding convertible securities (Including Warrants) (X)	Total Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
							No of Voting Rights					Total as a % of Total Voting Rights	No. (a)	As a % of total shares held (b)	No. (Not applicable) (a)		As a % of total shares held (Not applicable)(b)
							Class eg: X	Class eg: Y	Total								
(1) Institutions																	
(a) Mutual Fund/UTI	2	121687			121687	0.34	121687	0	121687	0.34		0	0			121037	
i) ICICI Prudential Dynamic Plan																	
(b) Venture Capital Funds												0	0				
Name (xyz)																	
(c) Alternate Investment Funds												0	0				
Name (xyz)																	
(d) Foreign Venture Capital Investors												0	0				
Name (xyz)																	
(e) Foreign Portfolio Investors	28	1191246			1191246	3.30	1191246		1191246	3.30		0	0			1191246	
Name (xyz)																	
(f) Financial Institutions Banks	7	60928			60928	0.17	60928	0	60928	0.17		0	0			58328	
Name (xyz)																	
(g) Insurance Companies	1	250			250	0.00	250	0	250	0.00		0	0			0	
(h) Provident Funds/Pension Funds																	
Name (xyz)																	
(i) Any Other (specify)																	
1) Foreign Institutional Investors	2	44842			44842	0.12	44842	0	44842	0.12		0	0			44842	
Sub- Total (B)(1)	40	1418953	0	0	1418953	3.94	1418953	0	1418953	3.94	0	0	0	0	0	1415453	
(2) Central Government/State Government(s)/President of India																	
Name (xyz)	0	0			0	0						0	0			0	
Sub- Total (B)(2)	0	0			0	0.00						0	0			0	

(3) Non- Institutions															
(a)	Individuals -														
	i.Individual shareholders holding nominal share capital up to Rs.2 lakhs.	16857	3856600		3856600	10.70	3856600	0	3856600	10.70		0	0		3400053
	ii.Individual shareholders holding nominal share capital in excess of Rs.2 lakhs.	24	1065763		1065763	2.96	1065763	0	1065763	2.96		0	0		1065763
(b)	NBFCs registered with RBI	2	1411		1411	0.00	1411	0	1411	0.00		0	0		1411
	Name (xyz)														
(c)	Employee Trust														
	Name (xyz)														
(d)	Overseas Depositories (holding DRs) (balancing figure)														
	Name (xyz)														
e)	Any Other (pl. specify)														
	i) Directors & Directors Relatives	18	119327		119327	0.33	119327		119327	0.33		0	0		111486
	ii) Bodies Corporate	326	1427915		1427915	3.96	1427915	0	1427915	3.96		0	0		1416716
	iii) Individual NRI- Rep. - Non Rep.	275	136131		136131	0.38	136131	0	136131	0.38		0	0		136131
	iv) Overseas Corporate Bodies														
	a) Asia Minerals Ltd.	1	1804891		1804891	5.01	1804891	0	1804891	5.01		0	0	0	1804891
	v) Clearing Member	194	298884		298884	0.83	298884	0	298884	0.83		0	0	0	298884
	Sub- Total (B)(3)	17697	8710922		8710922	24.16	8710922	0.00	8710922	24.16		0	0	0	8235335
	Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)	17737	10129875		10129875	28.10	10129875	0	10129875	28.10		0	0	0	9650788

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the name of following persons: Institutions/Non Institutions holding more than 1% of total number of shares.

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

Table IV - Statement showing shareholding pattern of the Non Promoter-Non Public Shareholder

Category & Name of the shareholders (I)	PAN (II)	Nos of shareholder (III)	No of fully paid-up equity shares held (IV)	Partly paid-up equity shares held (V)	No of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares(calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying Outstanding convertible securities (Including Warrants) (X)	Total Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No of Voting Rights		Total as a % of Total Voting Rights			No.	As a % of total shares held	No. (Not applicable)	As a % of total shares held (Not applicable)	
								Class eg: X	Class eg: Y								
(1) Custodian/DR Holder		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(a) Name of DR Holder (If available)																	
(i) abc.....																	
(ii) efg.....																	
(2) Employee Benefit Trust (Under SEBI (Share based Employee Benefit) Regulations, 2014)																	
(a) Name (abc.....)																	
Total Non-Promoter - Non Public Shareholding (C)=(C)(1)+(C)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available,