

**Sarda Energy & Minerals Ltd.**

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CIN: L27100MH1973PLC016617

**Format of holding of specified securities**

Name of Listed Entity:

Sarda Energy & Minerals Ltd.

Scrip Code :

504614 (BSE), SARDAEN (NSE)  
Equity Shares

Share Holding Pattern Filed under : Reg. 31(1)(a)/Reg.31(1)(b)/Reg.31 (1) ( c)

31(1)(b)

a.If under 31 (1)(b) then indicate the report for quarter ending :

30.09.2021

b.If under 31(1)(c) then indicate date of allotment/extinguishment

Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars		Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		<b>NO</b>
2	Whether the Listed Entity has issued any Convertible Securities ?		<b>NO</b>
3	Whether the Listed Entity has issued any Warrants ?		<b>NO</b>
4	Whether the Listed Entity has any shares against which depository receipts are issued?		<b>NO</b>
5	Whether the Listed Entity has any shares in locked-in?		<b>NO</b>
6	Whether any shares held by promoters are pledge or otherwise encumbered?		<b>NO</b>
7	Whether company has equity shares with differential voting rights?		<b>NO</b>
8	Whether Company has any significant beneficial owner?		<b>NO</b>

\* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

8 The tabular format for disclosure of holding of specified securities is as follows:-

Table I - Summary statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos of shareholders (III)	No of fully paid-up equity shares held (IV)	No of Partly paid-up equity shares held (V)	No of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares(calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No of shares Underlying Outstanding convertible securities (Including Warrants) (X)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)	
								Class eg: X	Class eg: Y	Total								
(A)	Promoter & Promoter Group	20	26134360			26134360	72.50	26134360	0	26134360	72.50							26134360
(B)	Public	25939	9914875			9914875	27.50	9914875	0	9914875	27.50							9644493
(C)	Non Promoter- Non Public	0	0			0	0	0	0	0								0
(C1)	Shares underlying DRs																	
(C2)	Shares held by Employee Trusts																	
	<b>Total</b>	<b>25959</b>	<b>36049235</b>			<b>36049235</b>	<b>100.00</b>	<b>36049235</b>	<b>0</b>	<b>36049235</b>	<b>100.00</b>	<b>0</b>	<b>0</b>					<b>35778853</b>

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

(1)	Category & Name of the shareholders (I)	Nos of shareholder (III)	No of fully paid-up equity shares held (IV)	Partly paid-up equity shares held (V)	No of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares(calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying Outstanding convertible securities (Including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) as a % of (A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of Total Voting Rights	No. (a)	As a % of total shares held (b)	No. (a)		As a % of total shares held (b)
								Class eg: X Equity	Class eg: Y	Total								
(1)	<b>Indian</b>																	
(a)	<b>Individuals/H.U.F</b>	<b>15</b>	<b>6907397</b>			<b>6907397</b>	<b>19.16</b>	<b>6907397</b>	<b>19.16</b>	<b>0</b>	<b>19.16</b>						<b>6907397</b>	
1	GHANSHYAM RAMKISHORE SARDA		639224			639224	1.77	639224	1.77	0	1.77						639224	
2	KAMAL KISHORE SARDA		564518			564518	1.57	564518	1.57	0	1.57						564518	
3	PANKAJ SARDA		691107			691107	1.92	691107	1.92	0	1.92						691107	
4	VEENADEVI GHANSHYAM SARDA		702350			702350	1.95	702350	1.95	0	1.95						702350	
5	UMA SARDA		1242579			1242579	3.45	1242579	3.45	0	3.45						1242579	
6	KAMAL KISHORE RATHI		6000			6000	0.02	6000	0.02	0	0.02						6000	
7	ANANT SARDA ANANT		808289			808289	2.24	808289	2.24	0	2.24						808289	
8	JUGAL KISHORE SARDA (HUF)		21716			21716	0.06	21716	0.06	0	0.06						21716	
9	SHASHI RATHI		19467			19467	0.05	19467	0.05	0	0.05						19467	
10	MANISH J SARDA		777335			777335	2.16	777335	2.16	0	2.16						777335	
11	ADITYA GHANSHYAM SARDA		112840			112840	0.31	112840	0.31	0	0.31						112840	
12	RAGHAV SARDA		112840			112840	0.31	112840	0.31	0	0.31						112840	
13	NEERAJ JUGALKISHORE SARDA		777335			777335	2.16	777335	2.16	0	2.16						777335	
14	ADITI GHANSHYAM SARDA		9132			9132	0.03	9132	0.03	0	0.03						9132	
15	VIPULA SARDA		422665			422665	1.17	422665	1.17	0	1.17						422665	
(b)	Central/State Government(s)																	
(c)	Financial Institutions/Banks																	
	Name (xyz ....)																	
(d)	Any Other (Specify)																	
	Name (xyz ....)																	
(i)	<b>Bodies Corporate</b>	<b>4</b>	<b>18226963</b>			<b>18226963</b>	<b>50.56</b>	<b>18226963</b>	<b>50.56</b>	<b>0</b>	<b>50.56</b>						<b>18226963</b>	
1	CHHATTISGARH INVESTMENTS LTD		13878760			13878760	38.50	13878760	38.50	0	38.50						13878760	
2	SARDA AGRICULTURE & PROP. PVT. LTD		2635150			2635150	7.31	2635150	7.31	0	7.31						2635150	
3	PRACHI AGRICULTURE & PROP. PVT. LTD		1565322			1565322	4.34	1565322	4.34	0	4.34						1565322	
4	CHHATTISGARH INVESTMENTS LTD (Firm)		147731			147731	0.41	147731	0.41	0	0.41						147731	
(ii)	<b>Trusts</b>	<b>1</b>	<b>1000000</b>			<b>1000000</b>	<b>2.77</b>	<b>1000000</b>	<b>2.77</b>	<b>0</b>	<b>2.77</b>						<b>1000000</b>	
1	UMA SARDA		1000000			1000000	2.77	1000000	2.77	0	2.77						1000000	
	<b>Sub- Total (A)(1)</b>	<b>20</b>	<b>26134360</b>			<b>26134360</b>	<b>72.50</b>	<b>26134360</b>	<b>72.50</b>	<b>0</b>	<b>72.50</b>						<b>26134360</b>	
(2)	<b>Foreign</b>																	
a)	Individuals (Non-Resident Individuals/ Foreign Individuals)																	
	Name (xyz ....)																	
b)	Government																	
	Name (xyz ....)																	
c)	Institutions																	
	Name (xyz ....)																	
d)	Foreign Portfolio Investor																	
	Name (xyz ....)																	
(e)	Any Other (Specify)																	
	Name (xyz ....)																	
	<b>Sub- Total (A)(2)</b>	<b>0</b>	<b>0</b>			<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>						<b>0</b>	
	<b>Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)</b>	<b>20</b>	<b>26134360</b>			<b>26134360</b>	<b>72.50</b>	<b>26134360</b>	<b>72.50</b>	<b>0</b>	<b>72.50</b>						<b>26134360</b>	

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.



<b>(3) Non- Institutions</b>																			
(a) <b>Individuals -</b>	I.Individual shareholders holding nominal share capital up to Rs.2 lakhs.	24236	4970720			4970720	13.79	4970720	0	4970720	13.79	0	13.79	0	0				4714163
	ii.Individual shareholders holding nominal share capital in excess of Rs.2 lakhs.	23	951054			951054	2.64	951054	0	951054	2.64	0	2.64	0	0				951054
(b) NBFCs registered with RBI		0	0			0	0.00	0	0	0	0.00	0	0.00	0	0				0
	Name (xyz ....)																		
(c) Employee Trust																			
	Name (xyz ....)																		
(d) Overseas Depositories (holding DRs) (balancing figure)																			
	Name (xyz ....)																		
e) Any Other (pl. specify)																			
i) Directors & Directors Relatives		17	116560			116560	0.32	116560	0	116560	0.32	0	0.32	0	0	0	0	0.00	108719
ii) Bodies Corporate		353	1937185			1937185	5.37	1937185	0	1937185	5.37	0	5.37	0	0	0	0	0	1931301
iii) Individual NRI- Rep.- Non Rep.		453	176246			176246	0.49	176246	0	176246	0.49	0	0.49	0	0	0	0	0	176246
iv) Clearing Member		91	71797			71797	0.20	71797	0	71797	0.20	0	0.20	0	0	0	0	0	71797
v) Trusts		5	3447			3447	0.01	3447	0	3447	0.01	0	0.01	0	0	0	0	0	3447
vi) Investor Education & Protection Fund		1	174787			174787	0.48	174787	0	174787	0.48	0	0.48	0	0	0	0	0	174787
vii) HUF		725	333405			333405	0.92	333405		333405	0.92	0	0.92	0	0	0	0	0	333405
<b>Sub- Total (B)(3)</b>		25904	8735201			8735201	24.23	8735201	0.00	8735201	24.23	0	24.23	0	0	0	0	0.00	8464919
<b>Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)</b>		<b>25939</b>	<b>9914875</b>			<b>9914875</b>	<b>27.50</b>	<b>9914875</b>	<b>0</b>	<b>9914875</b>	<b>27.50</b>	<b>0</b>	<b>27.50</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>9644493</b>

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %): NIL

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

**Note:**

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the name of following persons: Institutions/Non Institutions holding more than 1% of total number of shares.

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

Table IV - Statement showing shareholding pattern of the Non Promoter-Non Public Shareholder

	Category & Name of the shareholders (I)	PAN (II)	Nos of shareholder (III)	No of fully paid-up equity shares held (IV)	Partly paid-up equity shares held (V)	No of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares(calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying Outstanding convertible securities (Including Warrants) (X)	Total Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights					Total as a % of Total Voting Rights	No.	As a % of total shares held	No. (Not applicable)		As a % of total shares held (Not applicable)
									Class eg: X	Class eg: Y	Total								
(1)	<b>Custodian/DR Holder</b>		0	0	0	0	0	0	0		0	0	0			NA	0		
(a)	Name of DR Holder (If available)															NA			
(i)	abc.....															NA			
(ii)	efg.....															NA			
(2)	<b>Employee Benefit Trust (Under SEBI (Share based Employee Benefit ) Regulations, 2014)</b>															NA			
(a)	Name (abc.....)															NA			
	<b>Total Non-Promoter - Non Public Shareholding (C)=(C)(1)+(C)(2)</b>		0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0		

**Note:**

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to disclose name of all holders holding more than 1% of total number of shares
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available,