# Sarda Energy & Minerals Ltd.

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## Format of holding of specified securities

Name of Listed Entity:

Scrip Code :

Share Holding Pattern Filed under : Reg. 31(1)(a)/Reg.31(1)(b)/Reg.31 (1) ( c) a.If under 31 (1)(b) then indicate the report for quarter ending : b.If under 31(1)(c) then indicate date of allotment/extinguishment Sarda Energy & Minerals Ltd.

504614 (BSE), SARDAEN (NSE) Equity Shares

31(1)(b) 30.09.2021

Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars	Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?		NO
2 Whether the Listed Entity has issued any Convertible Securities ?		NO
3 Whether the Listed Entity has issued any Warrants ?		NO
4 Whether the Listed Entity has any shares against which depository receipts are issued?		NO
5 Whether the Listed Entity has any shares in locked-in?		NO
6 Whether any shares held by promoters are pledge or otherwise encumbered?		NO
7 Whether company has equity shares with differential voting rights?		NO
8 Whether Company has any significant beneficial owner?		NO

\* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

8 The tabular format for disclosure of holding of specified securities is as follows:-

#### Table I - Summary statement holding of specified securities

Cate	Category of shareholder (II)	Nos of	No of fully paid-	No of	No of shares	Total nos.	Shareholding as	Number of Votin	ng Rights	held in each class	of securities	No of shares	Shareholding , as a %	Numbe	r of Locked in	Number of	f shares pledged or	Number of
ory			up equity shares		, , ,	• • •	a % of total no.		(IX)			Underlying	assuming full conversion	shares (XII)		otherwise encumbared (XIII		
		rs (III)	held (IV)	• •		= (IV)+(V)+(VI)						Outstanding	of convertible securities					held in
					Receipts (VI)		shares(calculate				n	convertible	(as a percentage of					dematerilized
				shares held (V)			d as per SCRR,	No of Voting Rights		Total as a %	securities (Including	diluted share capital) (XI)=(VII)+(X) As a % of	No. (a)	As a % of total	No. (a)	As a % of total	form (XIV)	
				neiu (v)			1957) (VIII) As a % of (A+B+C2)	Class eg: X	Class	Total	of (A+B+C)	Warrants) (X)	(A+B+C2)		shares held		shares held (b)	
							70 OI (A+D+02)		eg: Y			warrants) (vy	(410102)		(b)			
(A)	Promoter & Promoter Group	20	26134360			26134360	72.50	26134360	0	26134360	72.50		72.50					26134360
(B)	Public	25939	9914875			9914875	27.50	9914875	0	9914875	27.50		27.50					9644493
( C)	Non Promoter- Non Public	0	0			0	0	C	0	0	0							0
(C1	Shares underlying DRs																	
(C2	Shares held by Employee Trusts																	
	Total	25959	36049235			36049235	100.00	36049235	0	36049235	100.00	0	100.00	0	0			35778853

	Category & Name of the shareholders ()	Nos of sharehold er (III)	No of fully paid- up equity shares held (IV)	Partly paid-up equity shares	No of shares underlying Depository	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares(calcul					No of shares Underlying Outstanding convertible	convertible		er of Locked in ares (XII)	pledged	er of shares or otherwise bared (XIII)	Number of equity shares held in dematerilized form (XIV)
				held (V)	Receipts (VI)		ated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	No of Class eg: X Equity	Voting R Class eg: Y	Rights  Total	Total as a % of Total Voting Rights	securities (Including Warrants) (X)	securities (as a percentage of diluted share capital) (XI)=(VII)+(X) as a % of (A+B+C2)	No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)	
(1)	Indian																	
(a)	Individuals/H.U.F	15	6907397			6907397	19.16	6907397		6907397	19.16	0	19.16					6907397
1	GHANSHYAM RAMKISHORE SARDA		639224			639224	1.77	639224		639224	1.77	0	1.77					639224
2	KAMAL KISHORE SARDA		564518			564518	1.57	564518		564518	1.57	0	1.57					564518
3	PANKAJ SARDA		691107			691107	1.92	691107		691107	1.92	0	1.92					691107
4	VEENADEVI GHANSHYAM SARDA		702350			702350	1.95	702350		702350	1.95	0	1.95					702350
5	UMA SARDA		1242579			1242579	3.45	1242579		1242579	3.45	0	3.45					1242579
6	KAMAL KISHORE RATHI		6000			6000	0.02	6000		6000	0.02	0	0.02					6000
7	ANANT SARDA ANANT		808289			808289	2.24	808289		808289	2.24	0	2.24					808289
8	JUGAL KISHORE SARDA (HUF)		21716			21716	0.06	21716		21716	0.06	0	0.06					21716
9	SHASHI RATHI		19467			19467	0.05	19467		19467	0.05	0	0.05					19467
10	MANISH J SARDA		777335			777335	2.16	777335		777335	2.16	0	2.16					777335
11	ADITYA GHANSHYAM SARDA		112840			112840	0.31	112840		112840	0.31	0	0.31					112840
12	RAGHAV SARDA		112840			112840	0.31	112840		112840	0.31	0	0.31					112840
13	NEERAJ JUGALKISHORE SARDA		777335			777335	2.16	777335		777335	2.16	0	2.16					777335
14	ADITI GHANSHYAM SARDA		9132			9132	0.03	9132		9132	0.03	0	0.03					9132
15	VIPULA SARDA		422665			422665	1.17	422665		422665	1.17	0	1.17					422665
(b)	Cental/State Government(s)																	
( c)	Financial Institutions/Banks																	
	Name (xyz)																	
(d)	Any Other (Specify)																	
	Name (xyz)																	
	i) Bodies Corporate	4	18226963			18226963	50.56	18226963		18226963	50.56	0	50.56					18226963
1	CHHATTISGARH INVESTMENTS LTD		13878760			13878760	38.50	13878760		13878760	38.50	0	38.50					13878760
2	SARDA AGRICULRURE & PROP. PVT. LTD		2635150			2635150	7.31	2635150		2635150	7.31	0	7.31					2635150
3	PRACHI AGRICULTURE & PROP. PVT. LTD		1565322			1565322	4.34	1565322		1565322	4.34	0	4.34					1565322
4	CHHATTISGARH INVESTMENTS LTD (Firm)		147731			147731	0.41	147731		147731	0.41	0	0.41					147731
	ii) Trusts	1	1000000			1000000	2.77	1000000		1000000	2.77	0	2.77					100000
1	UMA SARDA	1	1000000			1000000	2.77	1000000		1000000	2.77	0	2.77	-				100000
	Sub- Total (A)(1)	20	26134360			26134360	72.50	26134360		26134360	72.50	0	72.50					26134360
(2)	Foreign																	
a)	Individuals (Non-Resident Individuals/ Foreign Individuals)																	
	Name (xyz)																	
b)	Government																	
	Name (xyz)																	
c)	Institutions																	
	Name (xyz)																	
d)	Foreign Portfolio Investor																	
	Name (xyz)																	
(e)	Any Other (Specify)																	
	Name (xyz)																	
	Sub- Total (A)(2)	0	0			0	0	0		0	0							0
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)	20	26134360			26134360	72.50	26134360		26134360	72.50							26134360

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

### Table III - Statement showing shareholding pattern of the Public Shareholder

Category & Name of the shareholders (I)	PAN (II)	Nos of shareholde r (III)	No of fully paid- up equity shares held (IV)	Partly paid-up equity shares held (V)	No of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	ng as a % of total no. of shares(calc ulated as per SCRR.		Rights he Voting R Class eg: Y	ights	securities (IX) Total as a % of Total Voting Rights	Underlying	Total Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	in s	er of Locked hares (XII) As a % of total shares held (b)	pledged encum No. (Not applicabl e) ( a)	or otherwise bared (XIII)	Number of equity shares held in dematerilized form (XIV)
(1) Institutions																		
(a) Mutual Fund/UTI		1	262661	0	0	262661	0.73	262661	0	262661	0.73	0	0.73	0	0			262661
i) ICICI Prudential Dynamic Plan																		
(b) Venture Capital Funds																		
Name (xyz)																		
(c) Alternate Investment Funds																		
Abakkus Emerging Opportunities Fund -1	AAHTA2563R	1	438284	0	0	438284	1.22	438284	0	438284	1.22	0	1.22	0	0			438284
(d) Foreign Venture Capital Investors																		
Name (xyz)																		
(e) Foreign Portfolio Investors		29	478439	0	0	478439	1.33	478439	0	478439	1.33	0	1.33	0	0			478439
Name (xyz)																		
(f) Financial Institutions Banks		4	290			290	0.00	290	0	290	0.00	0	0.00	0	0			190
Name (xyz)																		
(g) Insurance Companies		0	0			0	0.00	0	0	0	0.00	0	0.00	0	0			0
(h) Provident Funds/Pension Funds																		
Name (xyz)																		
(i) Any Other (specify)																		
Sub- Total (B)(1)		35	1179674	0	0	1179674	3.27	1179674	0	1179674	3.27	0	3.27	0	0	0	0	1179574
(2) Central Government/State Government(s)/President of India																		
Sub- Total (B)(2)		0	0	0	0	0	0.00	0	0	0 0	0.00	0	0	0	0	0	0	0

(3)	Non-Institutions												Í			
(a)	Individuals - shareholders holding nominal share capital up to Rs.2 lakhs.	24236	4970720	4970720	13.79	4970720	0	4970720	13.79	0	13.79	0		0		4714163
l	ii.Individual shareholders holding nominal share capital in excess of Rs.2 lakhs.	23	951054	951054	2.64	951054	0	951054	2.64	0	2.64	0		0		951054
(b)	NBFCs registered with RBI	0	0	0	0.00	0	0	0	0.00	0	0.00	0	ſ	0		C
	Name (xyz)												1			
( c)	Employee Trust												1			
	Name (xyz)												1			
(d)	Overseas Depositories (holding DRs) (balancing figure)															
	Name (xyz)												1			
e)	Any Other (pl. specify)												1			
	i) Directors & Directors Relatives	17	116560	116560	0.32	116560	0	116560	0.32	0	0.32	0	1	0 (	0.00	108719
	ii) Bodies Corporate	353	1937185	1937185	5.37	1937185	0	1937185	5.37	0	5.37	0	1	0 (	0 0	1931301
	iii) Individual NRI- Rep Non Rep.	453	176246	176246	0.49	176246	0	176246	0.49	0	0.49	0	1	0 (	0 0	176246
	iv) Clearing Member	91	71797	71797	0.20	71797	0	71797	0.20	0	0.20	0	1	0 (	0 0	71797
	v) Trusts	5	3447	3447	0.01	3447	0	3447	0.01	0	0.01	0	1	0 (	0 0	3447
	vi) Investor Education & Protection Fund	1	174787	174787	0.48	174787	0	174787	0.48	0	0.48	0	1	0 (	0 0	174787
	vii) HUF	725	333405	333405	0.92	333405		333405	0.92	0	0.92	0	1	0 (	0 0	333405
	Sub- Total (B)(3)	25904	8735201	8735201	24.23	8735201	0.00	8735201	24.23	0	24.23	0		0 (	0.00	8464919
	Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)	25939	9914875	9914875	27.50	9914875	0	9914875	27.50	0	27.50	0		<b>o</b> (	0 0	9644493

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %): NIL

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

#### Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the name of following persons: Institutions/Non Institutions holding more than 1% of total number of shares.

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian,

### Table IV - Statement showing shareholding pattern of the Non Promoter-Non Public Shareholder

	Category & Name of the shareholders (I)	PAN (II)	Nos of shareholde r (III)	No of fully paid-up equity shares held (IV)	Partly paid-up equity shares held (V)	No of shares underlying Depository Receipts (VI)	=	as a % of total no. of shares(calculat ed as per SCRR,	No of <sup>1</sup> Class eg:	lass of s	ecuritie	s held in each s (IX) Total as a % of Total Voting Rights	Underlying	Total Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a	sh No.	r of Locked in ares (XII) As a % of total shares held	pledged encumb No. (Not	r of shares or otherwise bared (XIII) As a % of total shares held (Not applicable)	Number of equity shares held in dematerilize d form (XIV)
(1)	Custodian (DD Holdor		0	0	0	0	0	0	0		0	0	0	% of (A . B. C2)	0	0		NA	0
	Custodian/DR Holder Name of DR Holder (If available)		0	0	0	0	0	0	0		0	0	0	0	0	0		NA	0
	abc																NA		
																	NA		
	efg Employee Benefit Trust (Under																	NA	
	SEBI (Share based Employee																		
	Benefit ) Regulations, 2014)																	NA	
(a)	Name (abc																	NA	
	Total Non-Promoter - Non Public Shareholding (C)=(C)(1)+(C)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0		NA	0
Note																	1		
	AN would not be displayed on websit	te of Stock	Exchange(s).																
. ,	e above format needs to disclose na		0.17	a more than	1% of tota	al number of	shares												
. ,	.r.t. the information pertaining to De			•				is to the extent i	nformatio	n availab	ole.								
. /	9.4	1		· ) · ·															