

Sarda Energy & Minerals Ltd.

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CIN: L27100MH1973PLC016617

Format of holding of specified securities

Name of Listed Entity:

Sarda Energy & Minerals Ltd.

Scrip Code :

504614 (BSE), SARDAEN (NSE)
Equity Shares

Share Holding Pattern Filed under : Reg. 31(1)(a)/Reg.31(1)(b)/Reg.31 (1) (c)

31(1)(b)

a.If under 31 (1)(b) then indicate the report for quarter ending :

30.09.2022

b.If under 31(1)(c) then indicate date of allotment/extinguishment

Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars		Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		NO
2	Whether the Listed Entity has issued any Convertible Securities ?		NO
3	Whether the Listed Entity has issued any Warrants ?		NO
4	Whether the Listed Entity has any shares against which depository receipts are issued?		NO
5	Whether the Listed Entity has any shares in locked-in?		NO
6	Whether any shares held by promoters are pledge or otherwise encumbered?		NO
7	Whether company has equity shares with differential voting rights?		NO
8	Whether Company has any significant beneficial owner?		NO

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

8 The tabular format for disclosure of holding of specified securities is as follows:-

Table I - Summary statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos of shareholders (III)	No of fully paid-up equity shares held (IV)	No of Partly paid-up equity shares held (V)	No of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares(calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying Outstanding convertible securities (Including Warrants) (X)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total shares held (b)	No. (a)		As a % of total shares held (b)
								Class eg: X	Class eg: Y	Total								
(A)	Promoter & Promoter Group	20	25597426	0	0	25597426	72.64	25597426	0	25597426	72.64						25597426	
(B)	Public	27877	9640701	0	0	9640701	27.36	9640701	0	9640701	27.36	0	0	0	0	0	0	9395503
(C)	Non Promoter- Non Public	0	0			0	0	0	0	0	0							0
(C1)	Shares underlying DRs																	
(C2)	Shares held by Employee Trusts																	
	Total	27897	35238127			35238127	100.00	35238127	0	35238127	100.00	0	0					34992929

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the shareholders (I)	Nos of shareholder (III)	No of fully paid-up equity shares held (IV)	Partly paid-up equity shares held (V)	No of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares(calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying Outstanding convertible securities (Including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) as a % of (A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of Total Voting Rights	No. (a)	As a % of total shares held (b)	No. (a)		As a % of total shares held (b)
								Class eg: X Equity	Class eg: Y	Total								
(1) Indian																		
(a) Individuals/H.U.F		15	6737262			6737262	19.12	6737262	6737262	19.12	0	19.12					6737262	
1	KAMAL KISHORE SARDA	Promoter	548455			548455	1.56	548455	548455	1.56	0	1.56					548455	
2	KAMAL KISHORE RATHI	Promoter Group	5831			5831	0.02	5831	5831	0.02	0	0.02					5831	
3	ADITI GHANSHYAM SARDA	Promoter Group	8873			8873	0.03	8873	8873	0.03	0	0.03					8873	
4	SHASHI RATHI	Promoter Group	18915			18915	0.05	18915	18915	0.05	0	0.05					18915	
5	JUGAL KISHORE SARDA (HUF)	Promoter Group	21100			21100	0.06	21100	21100	0.06	0	0.06					21100	
6	ADITYA GHANSHYAM SARDA	Promoter Group	112840			112840	0.32	112840	112840	0.32	0	0.32					112840	
7	RAGHAV SARDA	Promoter Group	112840			112840	0.32	112840	112840	0.32	0	0.32					112840	
8	VIPULA SARDA	Promoter Group	410639			410639	1.17	410639	410639	1.17	0	1.17					410639	
9	GHANSHYAM RAMKISHORE SARDA	Promoter Group	621036			621036	1.76	621036	621036	1.76	0	1.76					621036	
10	PANKAJ SARDA	Promoter Group	671441			671441	1.91	671441	671441	1.91	0	1.91					671441	
11	VEENADEVI GHANSHYAM SARDA	Promoter Group	702350			702350	1.99	702350	702350	1.99	0	1.99					702350	
12	MANISH J SARDA	Promoter Group	755216			755216	2.14	755216	755216	2.14	0	2.14					755216	
13	NEERAJ JUGALKISHORE SARDA	Promoter Group	755216			755216	2.14	755216	755216	2.14	0	2.14					755216	
14	ANANT SARDA ANANT	Promoter Group	785289			785289	2.23	785289	785289	2.23	0	2.23					785289	
15	UMA SARDA	Promoter Group	1207221			1207221	3.43	1207221	1207221	3.43	0	3.43					1207221	
(b)	Central/State Government(s)																	
(c)	Financial Institutions/Banks																	
	Name (xyz)																	
(d)	Any Other (Specify)																	
	Name (xyz)																	
(i) Bodies Corporate		4	17888619			17888619	50.76	17888619	17888619	50.76	0	50.76					17888619	
1	CHHATTISGARH INVESTMENTS LTD	Promoter Group	13597493			13597493	38.59	13597493	13597493	38.59	0	38.59					13597493	
2	SARDA AGRICULTURE & PROP. PVT. LTD	Promoter Group	2581867			2581867	7.33	2581867	2581867	7.33	0	7.33					2581867	
3	PRACHI AGRICULTURE & PROP. PVT. LTD	Promoter Group	1565322			1565322	4.44	1565322	1565322	4.44	0	4.44					1565322	
4	CHHATTISGARH INVESTMENTS LTD (Firm)	Promoter Group	143937			143937	0.41	143937	143937	0.41	0	0.41					143937	
(ii) Trusts		1	971545			971545	2.76	971545	971545	2.76	0	2.76					971545	
1	UMA SARDA - Trustee to K K Sarma Family Trust	Promoter Group	971545			971545	2.76	971545	971545	2.76	0	2.76					971545	
	Sub- Total (A)(1)		20	25597426		25597426	72.64	25597426	25597426	72.64	0	72.64					25597426	
(2) Foreign																		
a)	Individuals (Non-Resident Individuals/ Foreign Individuals)																	
	Name (xyz)																	
b)	Government																	
	Name (xyz)																	
c)	Institutions																	
	Name (xyz)																	
d)	Foreign Portfolio Investor																	
	Name (xyz)																	
(e)	Any Other (Specify)																	
	Name (xyz)																	
	Sub- Total (A)(2)		0	0		0	0	0	0	0	0	0					0	
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		20	25597426		25597426	72.64	25597426	25597426	72.64	0	72.64					25597426	

Table III - Statement showing shareholding pattern of the Public Shareholder

Category & Name of the shareholders (I)	Nos of shareholder (III)	No of fully paid-up equity shares held (IV)	Partly paid-up equity shares held (V)	No of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)				No of shares Underlying Outstanding convertible securities (Including Warrants) (X)	Total Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares (XV)		
							No of Voting Rights			Total as a % of Total Voting Rights			No. (a)	As a % of total shares held (b)	No. (Not applicable) (a)	As a % of total shares held (Not applicable)(b)		Shareholding (No. of shares) under		
							Class eg: X	Class eg: Y	Total									Sub-category (i)	Sub-category (ii)	Sub-category (iii)
(1) Institutions																				
(a) Mutual Fund/UTI	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0			0			
(b) Venture Capital Funds	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0			0			
(c) Alternate Investment Funds	5	993392	0	0	993392	2.82	993392	0	993392	2.82	0	2.82	0	0			993392			
Abakus Emerging Opportunities Fund -1	1	665000	0	0	665000	1.89	665000	0	665000	1.89	0	1.89	0	0			665000			
(d) Banks	3	250	0	0	250	0.00	250	0	250	0.00	0	0.00	0	0			150			
(e) Insurance Companies	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0			0			
(f) Provident / Pension Funds	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0			0			
(g) Asset Reconstruction Companies	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0			0			
(h) Sovereign Wealth Funds	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0			0			
(i) NBFCs registered with RBI	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0			0			
(j) Other Financial Institutions	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0			0			
Sub- Total (B)(1)	8	993642	0	0	993642	2.82	993642	0	993642	2.82	0	2.82	0	0	0	0	993542			
2 Institutions (Foreign)																				
(a) Foreign Direct Investment	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0			
(b) Foreign Venture Capital Investors	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0			
(c) Foreign Sovereign Wealth Funds	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0			
(d) Foreign Portfolio Investors Category I	50	518061	0	0	518061	1.47	518061	0	518061	1.47	0	1.47	0	0.00	NA	NA	518061			
(e) Foreign Portfolio Investors Category II	4	175202	0	0	175202	0.50	175202	0	175202	0.50	0	0.50	0	0.00	NA	NA	175202			
(f) OVERSEAS DEPOSITORIES (Holding DRs) (Balancing Figure)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0			
SUB TOTAL (B)(2)	54	693263	0	0	693263	1.97	693263	0	693263	1.97	0	1.97	0	0.00	0	0	693263			
3 Central Government / State Government																				
(a) Central Government / President of India	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0			
(b) State Government / Governor	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0			
(c) Central/State Govt. shareholding by Cos or Bodies Corp	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0			
SUB TOTAL (B)(3)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0	0			

4 Non-institutions																		
(a) Associate Companies / Subsidiaries	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0	
(b) Directors and their relatives (excluding independent directors and nominee directors)	11	92441	0	0	116168		116168	0	116168		0		0	0.00	NA	NA	84537	
						0.33				0.33		0.33						
(c) Key Managerial Personnel	1	1634	0	0	1634	0.00	1634	0	1634	0.00	0	0.00	0	0.00	NA	NA	1634	
(d) Relatives of Promoters (Non-Promoter)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0	
(e) Trusts (Non-Promoter)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0	
(f) Investor Education and Protection Fund (IEPF)	1	184765	0	0	184765		184765	0	184765		0		0	0.00	NA	NA	184765	
						0.52				0.52		0.52						
(g) Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	25986	4507199	0	0	4483472		4483472	0	4483472		0		0	0.00	NA	NA	4275889	
						12.72				12.72		12.72						
(h) Individual - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	22	1139467	0	0	1139467		1139467	0	1139467		0		0	0.00	NA	NA	1139467	
						3.23				3.23		3.23						
MUKUL MAHAVIR AGRAWAL	1	400000	0	0	400000	1.14	400000	0	400000	1.14	0	1.14	0	0.00	0	0	400000	
(i) Non Resident Indians (NRIs)	563	152369	0	0	152369	0.43	152369	0	152369	0.43	0	0.43	0	0.00	NA	NA	152369	
(j) Foreign Nationals	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0	
(k) Foreign Companies	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0	
(l) Bodies Corporate	415	1582086	0	0	1582086	4.49	1582086	0	1582086	4.49	0	4.49	0	0.00	NA	NA	1576202	
(m) Any Other (Clearing Member)	47	38474	0	0	38474	0.11	38474	0	38474	0.11	0	0.11	0	0.00	NA	NA	38474	
(m) Any Other (Hindu Undivided Family)	764	247791	0	0	247791	0.70	247791	0	247791	0.70	0	0.70	0	0.00	NA	NA	247791	
(m) Any Other (Trusts)	5	7570	0	0	7570	0.02	7570	0	7570	0.02	0	0.02	0	0.00	NA	NA	7570	
SUB TOTAL (B)(4)	27815	7953796	0	0	7953796	22.57	7953796	0	7953796	22.57	0	22.57	0	0.00	0	0	7708698	
Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)+(B)(4)	27877	9640701	0	0	9640701	27.36	9640701	0	9640701	27.36	0	27.36	0	0	0	0	9395503	

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %): 0

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the names of the shareholders holding 1% or more than 1% of shares of the listed entity. Column no. (XIII) is not applicable in the above format.

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

(4) Categorization and disclosure of each shareholder category should be carried out in the order prescribed in the above format. If a shareholder is falling under more than one category, then the same shall be classified in the category falling first in the order prescribed in the above format. Shareholding under any of the categories shall be unique and will not be duplicated under multiple categories.

(5) Sub-categorization of shares under column no. (XV) will be based on shareholding (no. of shares) under the following sub-categories:

(i) Shareholders who are represented by a nominee Director on the board of the listed entity or have the right to nominate a representative (i.e. Director) on the board of the listed entity.

(ii) Shareholders who have entered into shareholder agreement with the listed entity.

(iii) Shareholders acting as persons in concert with promoters.

Table IV - Statement showing shareholding pattern of the Non Promoter-Non Public Shareholder

Category & Name of the shareholders (I)	PAN (II)	Nos of shareholder (III)	No of fully paid-up equity shares held (IV)	Partly paid-up equity shares held (V)	No of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares(calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying Outstanding convertible securities (Including Warrants) (X)	Total Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No of Voting Rights		Total as a % of Total Voting Rights			No.	As a % of total shares held	No. (Not applicable)	As a % of total shares held (Not applicable)	
								Class eg: X	Class eg: Y								
(1) Custodian/DR Holder		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(a) Name of DR Holder (If available)																	
(i) abc.....																	
(ii) efg.....																	
(2) Employee Benefit Trust (Under SEBI (Share based Employee Benefit) Regulations, 2014)																	
(a) Name (abc.....																	
Total Non-Promoter - Non Public Shareholding (C)=(C)(1)+(C)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available,