

Sarda Energy & Minerals Ltd.

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CIN: L27100MH1973PLC016617

Format of holding of specified securities

Name of Listed Entity:

Sarda Energy & Minerals Ltd.

Scrip Code :

504614 (BSE), SARDAEN (NSE)
Equity Shares

Share Holding Pattern Filed under : Reg. 31(1)(a)/Reg.31(1)(b)/Reg.31 (1) (c)

31(1)(b)

a.If under 31 (1)(b) then indicate the report for quarter ending :

30.09.2023

b.If under 31(1)(c) then indicate date of allotment/extinguishment

Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars		Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		NO
2	Whether the Listed Entity has issued any Convertible Securities ?		NO
3	Whether the Listed Entity has issued any Warrants ?		NO
4	Whether the Listed Entity has any shares against which depository receipts are issued?		NO
5	Whether the Listed Entity has any shares in locked-in?		NO
6	Whether any shares held by promoters are pledge or otherwise encumbered?		NO
7	Whether company has equity shares with differential voting rights?		NO
8	Whether Company has any significant beneficial owner?		NO

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

8 The tabular format for disclosure of holding of specified securities is as follows:-

Table I - Summary statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos of shareholders (III)	No of fully paid-up equity shares held (IV)	No of Partly paid-up equity shares held (V)	No of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying Outstanding convertible securities (Including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total shares held (b)	No. (a)		As a % of total shares held (b)
								Class eg: X	Class eg: Y	Total								
(A)	Promoter & Promoter Group	20	255974260	0	0	255974260	72.64	255974260	0	255974260	72.64						255974260	
(B)	Public	38787	96407010	0	0	96407010	27.36	96407010	0	96407010	27.36	0	0	0	0	0	0	94235070
(C)	Non Promoter- Non Public	0	0			0	0	0	0	0	0							0
(C1)	Shares underlying DRs																	
(C2)	Shares held by Employee Trusts																	
	Total	38807	352381270			352381270	100.00	352381270	0	352381270	100.00	0	0					350209330

Note
1 During the quarter the equity shares were split from face value of Rs.10/- each to face value of Rs.1/- each.

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the shareholders (I)	Nos of shareholder (III)	No of fully paid-up equity shares held (IV)	Partly paid-up equity shares held (V)	No of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying Outstanding convertible securities (Including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) as a % of (A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of Total Voting Rights	No. (a)	As a % of total shares held (b)	No. (a)		As a % of total shares held (b)
								Class eg: X Equity	Class eg: Y	Total								
(1) Indian																		
(a) Individuals/H.U.F		15	67372620			67372620	19.12	67372620	67372620	19.12	0	19.12					67372620	
1	KAMAL KISHORE SARDA	Promoter	5484550			5484550	1.56	5484550	5484550	1.56	0	1.56					5484550	
2	KAMAL KISHORE RATHI	Promoter Group	58310			58310	0.02	58310	58310	0.02	0	0.02					58310	
3	ADITI GHANSHYAM SARDA	Promoter Group	88730			88730	0.03	88730	88730	0.03	0	0.03					88730	
4	SHASHI RATHI	Promoter Group	189150			189150	0.05	189150	189150	0.05	0	0.05					189150	
5	JUGAL KISHORE SARDA (HUF)	Promoter Group	211000			211000	0.06	211000	211000	0.06	0	0.06					211000	
6	ADITYA GHANSHYAM SARDA	Promoter Group	1128400			1128400	0.32	1128400	1128400	0.32	0	0.32					1128400	
7	RAGHAV SARDA	Promoter Group	1128400			1128400	0.32	1128400	1128400	0.32	0	0.32					1128400	
8	VIPULA SARDA	Promoter Group	4106390			4106390	1.17	4106390	4106390	1.17	0	1.17					4106390	
9	GHANSHYAM RAMKISHORE SARDA	Promoter Group	6210360			6210360	1.76	6210360	6210360	1.76	0	1.76					6210360	
10	PANKAJ SARDA	Promoter Group	6714410			6714410	1.91	6714410	6714410	1.91	0	1.91					6714410	
11	VEENADEVI GHANSHYAM SARDA	Promoter Group	7023500			7023500	1.99	7023500	7023500	1.99	0	1.99					7023500	
12	MANISH J SARDA	Promoter Group	7552160			7552160	2.14	7552160	7552160	2.14	0	2.14					7552160	
13	NEERAJ JUGALKISHORE SARDA	Promoter Group	7552160			7552160	2.14	7552160	7552160	2.14	0	2.14					7552160	
14	ANANT SARDA ANANT	Promoter Group	7852890			7852890	2.23	7852890	7852890	2.23	0	2.23					7852890	
15	UMA SARDA	Promoter Group	12072210			12072210	3.43	12072210	12072210	3.43	0	3.43					12072210	
(b)	Central/State Government(s)																	
(c)	Financial Institutions/Banks																	
	Name (xyz)																	
(d)	Any Other (Specify)																	
	Name (xyz)																	
(i) Bodies Corporate		4	178886190			178886190	50.76	178886190	178886190	50.76	0	50.76					178886190	
1	CHHATTISGARH INVESTMENTS LTD	Promoter Group	135974930			135974930	38.59	135974930	135974930	38.59	0	38.59					135974930	
2	SARDA AGRICULRURE & PROP. PVT. LTD	Promoter Group	25818670			25818670	7.33	25818670	25818670	7.33	0	7.33					25818670	
3	PRACHI AGRICULTURE & PROP. PVT. LTD	Promoter Group	15653220			15653220	4.44	15653220	15653220	4.44	0	4.44					15653220	
4	CHHATTISGARH INVESTMENTS LTD (Firm)	Promoter Group	1439370			1439370	0.41	1439370	1439370	0.41	0	0.41					1439370	
(ii) Trusts		1	9715450			9715450	2.76	9715450	9715450	2.76	0	2.76					9715450	
1	UMA SARDA - Trustee to K K Sarda Family Trust	Promoter Group	9715450			9715450	2.76	9715450	9715450	2.76	0	2.76					9715450	
	Sub- Total (A)(1)		20	255974260		255974260	72.64	255974260	255974260	72.64	0	72.64					255974260	
(2) Foreign																		
a)	Individuals (Non-Resident Individuals/ Foreign Individuals)																	
	Name (xyz)																	
b)	Government																	
	Name (xyz)																	
c)	Institutions																	
	Name (xyz)																	
d)	Foreign Portfolio Investor																	
	Name (xyz)																	
(e)	Any Other (Specify)																	
	Name (xyz)																	
	Sub- Total (A)(2)		0	0		0	0	0	0	0	0	0					0	
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		20	255974260		255974260	72.64	255974260	255974260	72.64	0	72.64					255974260	

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

4 Non-institutions																			
(a) Associate Companies / Subsidiaries	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0	0	0
(b) Directors and their relatives (excluding independent directors and nominee directors)	12	905290	0	0	905290	0.26	905290	0	905290	0.26	0	0.26	0	0.00	NA	NA	826250	0	0
(c) Key Managerial Personnel	1	16340	0	0	16340	0.00	16340	0	16340	0.00	0	0.00	0	0.00	NA	NA	16340	0	0
(d) Relatives of Promoters (Non-Promoter)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0	0	0
(e) Trusts (Non-Promoter)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0	0	0
(f) Investor Education and Protection Fund (IEPF)	1	2002940	0	0	2002940	0.57	2002940	0	2002940	0.57	0	0.57	0	0.00	NA	NA	2002940	0	0
(g) Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	36445	44894388	0	0	44894388	12.74	44894388	0	44894388	12.74	0	12.74	0	0.00	NA	NA	42860328	0	0
(h) Individual - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	17	10407590	0	0	10407590	2.95	10407590	0	10407590	2.95	0	2.95	0	0.00	NA	NA	10407590	0	0
MUKUL MAHAVIR AGRAWAL	1	4176000	0	0	4176000	1.19	4176000	0	4176000	1.19	0	1.19	0	0.00	0	0	4176000	0	0
(i) Non Resident Indians (NRIs)	823	1559940	0	0	1559940	0.44	1559940	0	1559940	0.44	0	0.44	0	0.00	NA	NA	1559940	0	0
(j) Foreign Nationals	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0	0	0
(k) Foreign Companies	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0	0	0
(l) Bodies Corporate	509	10685922	0	0	10685922	3.03	10685922	0	10685922	3.03	0	3.03	0	0.00	NA	NA	10628082	0	0
(m) Any Other (Clearing Member)	24	406081	0	0	406081	0.12	406081	0	406081	0.12	0	0.12	0	0.00	NA	NA	406081	0	0
(m) Any Other (Hindu Undivided Family)	856	2211564	0	0	2211564	0.63	2211564	0	2211564	0.63	0	0.63	0	0.00	NA	NA	2211564	0	0
(m) Any Other (Trusts)	5	5834	0	0	5834	0.00	5834	0	5834	0.00	0	0.00	0	0.00	NA	NA	5834	0	0
(m) Escrow Account	1	2130	0	0	2130	0.00	2130	0	2130	0.00	0	0.00	0	0.00	NA	NA	2130	0	0
(n) Proprietary Firm	1	1174	0	0	1174	0.00	1174	0	1174	0.00	0	0.00	0	0.00	NA	NA	1174	0	0
SUB TOTAL (B)(4)	38695	73099193	0	0	73099193	20.74	73099193	0	73099193	20.74	0	20.74	0	0	0	0	70928253	0	0
Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)+(B)(4)	38787	96407010	0	0	96407010	27.36	96407010	0	96407010	27.36	0	27.36	0	0	0	0	94235070	0	0

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %): 0

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the names of the shareholders holding 1% or more than 1% of shares of the listed entity. Column no. (XIII) is not applicable in the above format.

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

(4) Categorization and disclosure of each shareholder category should be carried out in the order prescribed in the above format. If a shareholder is falling under more than one category, then the same shall be classified in the category falling first in the order prescribed in the above format. Shareholding under any of the categories shall be unique and will not be duplicated under multiple categories.

(5) Sub-categorization of shares under column no. (XV) will be based on shareholding (no. of shares) under the following sub-categories:

(i) Shareholders who are represented by a nominee Director on the board of the listed entity or have the right to nominate a representative (i.e. Director) on the board of the listed entity.

(ii) Shareholders who have entered into shareholder agreement with the listed entity.

(iii) Shareholders acting as persons in concert with promoters.

Table IV - Statement showing shareholding pattern of the Non Promoter-Non Public Shareholder

	Category & Name of the shareholders (I)	PAN (II)	Nos of shareholder (III)	No of fully paid-up equity shares held (IV)	Partly paid-up equity shares held (V)	No of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares(calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying Outstanding convertible securities (Including Warrants) (X)	Total Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights					Total as a % of Total Voting Rights	No.	As a % of total shares held	No. (Not applicable)		As a % of total shares held (Not applicable)
									Class eg: X	Class eg: Y	Total								
(1)	Custodian/DR Holder		0	0	0	0	0	0	0		0	0	0			NA	0		
(a)	Name of DR Holder (If available)															NA			
(i)	abc.....															NA			
(ii)	efg.....															NA			
(2)	Employee Benefit Trust (Under SEBI (Share based Employee Benefit) Regulations, 2014)															NA			
(a)	Name (abc.....)															NA			
	Total Non-Promoter - Non Public Shareholding (C)=(C)(1)+(C)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0		

Note:

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to disclose name of all holders holding more than 1% of total number of shares
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available,

Annexure - B

Table VI - Statement Showing Foreign Ownership Limit

	Board approved limits	Board approved limits
As on shareholding date	100%	2.93%
As on the end of previous 1st quarter	100%	2.79%
As on the end of previous 2nd quarter	100%	2.66%
As on the end of previous 3rd quarter	100%	2.15%
As on the end of previous 4th quarter	100%	2.22%