Sarda Energy & Minerals Ltd.

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Format of holding of specified securities

Name of Listed Entity:

Scrip Code :

Share Holding Pattern Filed under : Reg. 31(1)(a)/Reg.31(1)(b)/Reg.31 (1) (c) a.If under 31 (1)(b) then indicate the report for quarter ending : b.If under 31(1)(c) then indicate date of allotment/extinguishment Sarda Energy & Minerals Ltd.

504614 (BSE), SARDAEN (NSE) Equity Shares

31(1)(b) 31.03.2021

Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars	Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?		NO
2 Whether the Listed Entity has issued any Convertible Securities ?		NO
3 Whether the Listed Entity has issued any Warrants ?		NO
4 Whether the Listed Entity has any shares against which depository receipts are issued?		NO
5 Whether the Listed Entity has any shares in locked-in?		NO
6 Whether any shares held by promoters are pledge or otherwise encumbered?		NO
7 Whether company has equity shares with differential voting rights?		NO
8 Whether Company has any significant beneficial owner?		NO

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

8 The tabular format for disclosure of holding of specified securities is as follows:-

Table I - Summary statement holding of specified securities

Categ	Category of shareholder (II)	Nos of	No of fully paid-	No of	No of	Total nos.	Shareholding as	Number of Voti	ng Rights	held in each clas	s of securities	No of shares	Shareholding , as a %	Numbe	er of Locked in	Number	of shares pledged	Number of
ory (I)		shareholde	up equity shares	Partly	shares	shares held (VII)				(IX)		Underlying	assuming full conversion	n shares (XII)		or other	wise encumbared	equity shares
		rs (III)	held (IV)	paid-up	underlying	1						Outstanding	of convertible securities				(XIII)	held in
				equity	Depository		shares(calculate				-	convertible	(as a percentage of					dematerilized
				shares	Receipts		d as per SCRR,		f Voting	Rights	Total as a %	securities	diluted share capital)	No. (a)		No. (a)	As a % of total	form (XIV)
				held (V)	(VI)		1957) (VIII) As a	Class eg: X	Class	Total	of (A+B+C)	(Including	(XI)=(VII)+(X) As a % of		total shares		shares held (b)	
							% of (A+B+C2)		eg: Y			Warrants) (X)	(A+B+C2)		held (b)			
(A)	Promoter & Promoter Group	19	26134360			26134360	72.50	26134360	0	26134360	72.50		72.50					26134360
(B)	Public	18954	9914875			9914875	27.50	9914875	0	9914875	27.50		27.50					9643108
(C)	Non Promoter- Non Public	0	0			0	0	C	0	0	0							0
(C1)	Shares underlying DRs																	
(C2)	Shares held by Employee Trusts																	
	Total	18973	36049235			36049235	100.00	36049235	0	36049235	100.00	0	100.00	0	0			35777468

	Category & Name of the shareholders (I)	Nos of sharehol der (III)	No of fully paid- up equity shares held (IV)	Partly paid-up equity shares	No of shares underlying Depository	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares(calcul	Number of Voting	Rights h	eld in each class of :		Shareholding , as a % assuming full conversion of convertible	s Number of Locked i I shares (XII)		n Number of shares pledged or otherwise encumbared (XIII)		Number of equity shares held in dematerilized form (XIV)	
				held (V)	Receipts (VI)		ated as per SCRR, 1957) As a % of (A+B+C2)	Class eg: X Equity	Voting F Class eg: Y	Total	Total as a % of Total Voting Rights	securities (Including Warrants) (X)	securities (as a percentage of diluted share capital)	No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)	
							(VIII)						(XI)=(VII)+(X) as a % of (A+B+C2)					
(1)	Indian																	
(a)	Individuals/H.U.F	14	6907397			6907397	19.16	6907397		6907397	19.16	0	19.16					6907393
1	GHANSHYAM RAMKISHORE SARDA		1392378			1392378	3.86	1392378		1392378	3.86	0	3.86					1392378
2	KAMAL KISHORE SARDA		564518			564518	1.57	564518		564518	1.57	0	1.57					564518
	PANKAJ SARDA		691107			691107	1.92			691107	1.92	0	1.92					69110
	VEENADEVI GHANSHYAM SARDA		702350			702350	1.95			702350	1.95	0	1.95					70235
	UMA SARDA		489425			489425	1.36			489425	1.36	0	1.36					48942
	KAMAL KISHORE RATHI		6000			6000	0.02	6000		6000	0.02	0	0.02					600
	ANANT SARDA ANANT		808289			808289	2.24	808289		808289	2.24	0	2.24					80828
	JUGAL KISHORE SARDA (HUF)		21716			21716	0.06	21716		21716	0.06	0	0.06					2171
	SHASHI RATHI		19467			19467	0.05	19467		19467	0.05	0	0.05					1946
	MANISH J SARDA		990618			990618	2.75	990618		990618	2.75	0	2.75					99061
	ADITYA GHANSHYAM SARDA		112840			112840	0.31	112840		112840	0.31	0	0.31					11284
	RAGHAV SARDA		112840			112840	0.31	112840		112840	0.31	0	0.31					11284
	NEERAJ JUGALKISHORE SARDA		986717			986717	2.74			986717	2.74	0	2.74					98671
	ADITI GHANSHYAM SARDA		9132			9132	0.03	9132		9132	0.03	0	0.03					913
	Cental/State Government(s)																	
1.7	Financial Institutions/Banks																	
	Name (xyz)																	
	Any Other (Specify)																	
	Name (xyz)																	
	i) Bodies Corporate	4	18226963			18226963	50.56	18226963		18226963	50.56	0	50.56					1822696
	CHHATTISGARH INVESTMENTS LTD		13878760			13878760	38.50	13878760		13878760	38.50	0	38.50					1387876
	SARDA AGRICULRURE & PROP. PVT. LTD		2635150			2635150	7.31	2635150		2635150	7.31	0	7.31					263515
	PRACHI AGRICULTURE & PROP. PVT. LTD		1565322			1565322	4.34	1565322		1565322		0	4.34					156532
	CHHATTISGARH INVESTMENTS LTD (Firm)	-	147731			147731	0.41	147731		147731	0.41	0	0.41					14773
	ii) Trusts UMA SARDA	1	1000000			1000000	2.77	1000000		1000000	2.77 2.77	0	2.77 2.77					100000
		19	1000000 26134360			1000000 26134360	72.50	1000000 26134360		1000000 26134360	72.50	0	72.50					100000 2613436
┢──┦	Sub- Total (A)(1)	19	20134300			20134300	72.50	20134300		20134360	/2.50	0	72.50					2013430
(2)	Foreign																	
(2)	Individuals (Non-Resident Individuals/ Foreign																	
a)	Individuals)																	
	Name (xyz)																	
b)	Government																	
	Name (xyz)																	
c)	Institutions																	
	Name (xyz)																	
d)	Foreign Portfolio Investor																	
	Name (xyz)																	
(e)	Any Other (Specify)																	
	Name (xyz)																	
	Sub- Total (A)(2)	0	0			0	0	0		0	0							(
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)	19	26134360			26134360	72.50	26134360		26134360	72.50							26134360
۱ I	v 7 v 7v-1 v 7v-1	1 19	20134360	1		20134360	72.50	20134360		20134360	/2.50					1	1	2013430

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

Table III - Statement showing shareholding pattern of the Public Shareholder

	Category & Name of the shareholders (I)	Nos of shareholde r (III)	No of fully paid- up equity shares held (IV)	Partly paid-up equity shares held (V)	No of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V}+(VI)	Shareholdi ng as a % of total no. of shares(calc ulated as per SCRR, 1957) As a % of (A+B+C2) (VIII)			(IX) ights	of securities Total as a % of Total Voting Rights	No of shares Underlying Outstanding convertible securities (Including Warrants) (X)	Total Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	in sł	er of Locked hares (XII) As a % of total shares held (b)	pledged encum No. (Not	er of shares or otherwise bared (XIII) As a % of total shares held (Not applicable)(b)	Number of equity shares held in dematerilized form (XIV)
							(viii)											
(1)	Institutions																	
<u> </u>	Mutual Fund/UTI	1	234278	0	0	234278	0.65	234278	0	23427	3 0.65	0	0.65	0	0			234278
	i) ICICI Prudential Dynamic Plan																	
(b)	Venture Capital Funds																	
	Name (xyz)																	
	Alternate Investment Funds																	
	Abakkus Emerging Opportunities Fund -1	1	362947	0	0	362947	1.01	362947	0	36294	7 1.01	0	0	0	0			362947
(d)	Foreign Venture Capital Investors																	
	Name (xyz)																	
(e)	Foreign Portfolio Investors	2	38530	0	0	38530	0.11	38530	0	3853	0.11	0	0.11	0	0			38530
	Name (xyz)																	
(f)	Financial Institutions Banks	4	290			290	0.00	290	0	29	0.00	0	0.00	0	0			190
	Name (xyz)																	
(g)	Insurance Companies	0	0			0	0.00	0	0		0.00	0	0.00	0	0			0
(h)	Provident Funds/Pension Funds																	
	Name (xyz)																	
(i)	Any Other (specify)																	
1)	Foreign Institutional Investors																	
	Sub- Total (B)(1)	8	636045	0	0	636045	1.76	636045	0	63604	5 1.76	0	0.76	0	0	0	0	635945
	Central Government/State Government(s)/President of India																	
	Sub- Total (B)(2)																	

	Non-Institutions															
(a)	Individuals - i.Individual															
	shareholders holding nominal share capital up to															
	Rs.2 lakhs.	17684	4445044	4445044	12.33	4445044	0	4445044	12.33	0	12.33	0	()		4187102
	ii.Individual shareholders holding nominal share															
	capital in excess of Rs.2 lakhs.	29	1667816	1667816	4.63	1667816	0	1667816	4.63	0	4.63	0	(2		1667816
(b)	NBFCs registered with RBI	0	0	0	0.00	0	0	0	0.00	0	0.00	0	()		0
	Name (xyz)															
(c)	Employee Trust															
	Name (xyz)															
	Overseas Depositories (holding DRs) (balancing															
(d)	figure)															
	Name (xyz)															
e)	Any Other (pl. specify)															
	i) Directors & Directors Relatives	17	119860	119860	0.33	119860	0	119860	0.33	0	0.33	0	0	0 0	0.00	112019
	ii) Bodies Corporate	202	1553496	1553496	4.31	1553496	0	1553496	4.31	0	4.31	0	(0 0	0	1547612
	iii) Individual NRI- Rep Non Rep.	357	160361	160361	0.44	160361	0	160361	0.44	0	0.44	0	(0 0	0	160361
	Iv) Overseas Corporate Bodies															
	a) Asia Minerals Ltd.	1	684891	684891	1.90	684891	0	684891	1.90	0	1.90	0	(0 0	0	684891
	v) Clearing Member	86	105878	105878	0.29	105878	0	105878	0.29	0	0.29	0	0	0 0	0	105878
	vi) Trusts	4	1865	1865	0.01	1865	0	1865	0.01	0	0.01	0	(0 0	0	1865
	vii) Investor Education & Protection Fund	1	174787	174787	0.48	174787	0	174787	0.48	0	0.48	0	(0 0	0	174787
	viii) HUF	565	364832	364832	1.01	364832		364832	1.01	0	1.01	0	(0 0	0	364832
	Sub- Total (B)(3)	18946	9278830	9278830	25.74	9278830	0.00	9278830	25.74	0	25.74	0	(0 0	0.00	9007163
1	Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)	18954	9914875	9914875	27.50	9914875	0	9914875	27.50	0	27.50	0		o l	0	9643108

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the name of following persons: Institutions/Non Institutions holding more than 1% of total number of shares.

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian,

Table IV - Statement showing shareholding pattern of the Non Promoter-Non Public Shareholder

	Category & Name of the shareholders (I)	PAN (II)	Nos of shareholde r (III)	No of fully paid-up equity shares held (IV)	Partly paid-up equity shares held (V)	No of shares underlying Depository Receipts (VI)	=	Shareholding as a % of total no. of shares(calculat ed as per SCRR, 1957) As a % of (A+B+C2) (VIII)	No of	lass of s Voting R	Rights Total as a %		Underlying	Total Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share	sh		pledged encumb No. (Not	er of shares or otherwise bared (XIII) As a % of total shares held (Not applicable)	Number of equity shares held in dematerilize d form (XIV)
														capital) (XI)=(VII)+(X) As a % of (A+B+C2)					
(1)	Custodian/DR Holder		0	0	0	0	0	0	0		0	0	0	0	0	0		NA	0
(a)	Name of DR Holder (If available)																NA		
(i)	abc																NA		
(ii)	efg																	NA	
	Employee Benefit Trust (Under SEBI (Share based Employee Benefit) Regulations, 2014)																	NA	
	Name (abc																	NA	
	Total Non-Promoter - Non Public Shareholding (C)=(C)(1)+(C)(2)		0	0	0	0	0	o	0	0	0	0	o	0	0	o		NA	0
` '	N would not be displayed on website above format needs to disclose na		0 ()	ng more that	n 1% of tot	al number of	shares	1		<u> </u>					<u> </u>		I		
(3) W	.r.t. the information pertaining to De	epository F	Receipts, the s	ame may be	e disclosed	in the respec	ctive colum	ns to the extent i	nformatio	on availa	ble,								