Sarda Energy & Minerals Ltd.

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Format of holding of specified securities

Name of Listed Entity:	Sarda Energy & Minerals Ltd.
Scrip Code :	504614 (BSE), SARDAEN (NSE) Equity Shares
Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg.31(1)(b)/Reg.31 (1) (c) a.If under 31 (1)(b) then indicate the report for quarter ending:	31(1)(b) 31.12.2021

Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars	Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?		NO
2 Whether the Listed Entity has issued any Convertible Securities?		NO
3 Whether the Listed Entity has issued any Warrants ?		NO
4 Whether the Listed Entity has any shares against which depository receipts are issued?		NO
5 Whether the Listed Entity has any shares in locked-in?		NO
6 Whether any shares held by promoters are pledge or otherwise encumbered?		NO
7 Whether company has equity shares with differential voting rights?		NO
8 Whether Company has any significant beneficial owner?		NO

^{*} If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

b.If under 31(1)(c) then indicate date of allotment/extinguishment

⁸ The tabular format for disclosure of holding of specified securities is as follows:-

Table I - Summary statement holding of specified securities

Cate	Category of shareholder (II)	Nos of	No of fully paid-	No of	No of shares	Total nos.	Shareholding as	Number of Votir	ng Rights	held in each class	of securities	No of shares	Shareholding, as a %	Numbe	er of Locked in	Number o	f shares pledged or	Number of
ory (l)	shareholde	up equity shares	Partly	underlying	shares held (VII)	a % of total no.		(IX)			Underlying	assuming full conversion	shares (XII)		otherwise encumbared (XIII)		equity shares
		rs (III)	held (IV)	paid-up		= (IV)+(V)+(VI)	of					Outstanding	of convertible securities					held in
					Receipts (VI)		shares(calculate					convertible	(as a percentage of					dematerilized
				shares			d as per SCRR,	No of	No of Voting Rights Total		Total as a %	securities	diluted share capital)			` '		form (XIV)
				held (V)			1957) (VIII) As a % of (A+B+C2)	Class eg: X	Class	Total	of (A+B+C)	(Including Warrants) (X)	(XI)=(VII)+(X) As a % of		shares held		shares held (b)	
							% UI (A+D+U2)		eg: Y			warrants) (x)	(A+B+C2)		(b)			İ
(A)	Promoter & Promoter Group	20	26134360			26134360	72.50	26134360	0	26134360	72.50		72.50					26134360
(B)	Public	24921	9914875			9914875	27.50	9914875	0	9914875	27.50		27.50					9655806
(C)	Non Promoter- Non Public	0	0			0	0	0	0	0	0							0
(C1)	Shares underlying DRs																	
(C2)	Shares held by Employee Trusts																	
	Total	24941	36049235			36049235	100.00	36049235	0	36049235	100.00	O	100.00	0	0			35790166

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the shareholders (I)	Nos of sharehold er (III)	No of fully paid- up equity shares held (IV)	Partly paid-up equity shares	No of shares underlying Depository	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares(calcul	Number of Voting	Rights h	eld in each class of s	securities (IX)	No of shares Underlying Outstanding convertible	conversion of convertible		er of Locked in nares (XII)	pledged or otherwise encumbared (XIII)		Number of equity shares held in dematerilized form (XIV)
				held (V)	Receipts		ated as per	No of	Voting R	Rights	Total as a % of		securities (as a	No. (a) As a % of		No. (a)	As a % of	1
					(VI)		SCRR, 1957) As a % of (A+B+C2) (VIII)	Class eg: X Equity	Class eg: Y	Total	Total Voting Rights	(Including Warrants) (X)	percentage of diluted share capital) (XI)=(VII)+(X) as a % of (A+B+C2)		total shares held (b)		total shares held (b)	
(1)	Indian																	
(a)	Individuals/H.U.F	15	6907397			6907397	19.16	6907397		6907397	19.16	0	19.16					6907397
1	GHANSHYAM RAMKISHORE SARDA		639224			639224	1.77	639224		639224	1.77	0	1.77					639224
2	KAMAL KISHORE SARDA		564518			564518	1.57	564518		564518	1.57	0	1.57					564518
3	PANKAJ SARDA		691107			691107	1.92	691107		691107	1.92	0	1.92					691107
4	VEENADEVI GHANSHYAM SARDA		702350			702350	1.95	702350		702350	1.95	0	1.95					702350
5	UMA SARDA		1242579			1242579	3.45	1242579		1242579	3.45	0	3.45					1242579
6	KAMAL KISHORE RATHI		6000			6000	0.02	6000		6000	0.02	0	0.02					6000
7	Anant Sarda Anant		808289			808289	2.24	808289		808289	2.24	0	2.24					808289
8	JUGAL KISHORE SARDA (HUF)		21716			21716	0.06	21716		21716	0.06		0.06					21716
9	SHASHI RATHI		19467			19467	0.05	19467		19467	0.05	0	0.05					19467
10	MANISH J SARDA		777335			777335	2.16	777335		777335	2.16	0	2.16					777335
11	ADITYA GHANSHYAM SARDA		112840			112840	0.31	112840		112840	0.31	0	0.31					112840
12	RAGHAV SARDA		112840			112840	0.31	112840		112840	0.31	0	0.31					112840
13	NEERAJ JUGALKISHORE SARDA		777335			777335	2.16	777335		777335	2.16	0	2.16					777335
14	ADITI GHANSHYAM SARDA		9132			9132	0.03	9132		9132	0.03		0.03					9132
15	VIPULA SARDA		422665			422665	1.17	422665		422665	1.17		1.17					422665
(b)	Cental/State Government(s)		.====									_						
(c)	Financial Institutions/Banks																	
(-)	Name (xyz)																	
(d)	Any Other (Specify)																	
(-)	Name (xyz)																	
	i) Bodies Corporate	4	18226963			18226963	50.56	18226963		18226963	50.56	0	50.56					18226963
1	CHHATTISGARH INVESTMENTS LTD		13878760			13878760	38.50	13878760		13878760	38.50	0	38.50					13878760
2	SARDA AGRICULRURE & PROP. PVT. LTD		2635150			2635150	7.31	2635150		2635150	7.31	n	7.31					2635150
3	PRACHI AGRICULTURE & PROP. PVT. LTD		1565322			1565322	4.34	1565322		1565322	4.34	0	4.34					1565322
4	CHHATTISGARH INVESTMENTS LTD (Firm)		147731			147731	0.41	147731		147731	0.41	0	0.41					147731
H	ii) Trusts	1	1000000			1000000	2.77	1000000		1000000	2.77	n	2.77					1000000
1	UMA SARDA - Trustee to K K Sarda Family Trust	1	1000000			1000000	2.77	1000000		1000000	2.77		2.77					1000000
Ė	Sub-Total (A)(1)	20	26134360			26134360	72.50	26134360		26134360	72.50		72.50					26134360
\vdash	Sub-Total (A)(T)	20	20104000			2010-3000	,2.30	2010-300		2010-300	, 2.30	"	,2.50					2010-300
(2)	Foreign									1								
(2)	Individuals (Non-Resident Individuals/ Foreign									 						 		
a)	Individuals)																	
	Name (xyz)																	
b)	Government																	
	Name (xyz)																	
c)	Institutions																	
	Name (xyz)																	
d)	Foreign Portfolio Investor																	
	Name (xyz)							_										
(e)	Any Other (Specify)																	
	Name (xyz)																	
	Sub- Total (A)(2)	0	0			0	0	0		0	0							0
	Total Shareholding of Promoter and Promoter Group																	
<u> </u>	(A)=(A)(1)+(A)(2)	20	26134360			26134360				26134360								26134360

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note

(2) The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

⁽¹⁾ PAN would not be displayed on website of Stock Exchange(s).

Table III - Statement showing shareholding pattern of the Public Shareholder

	Category & Name of the shareholders (I)	PAN (II)	Nos of shareholde r (III)	No of fully paid- up equity shares held (IV)	Partly paid-up equity shares held (V)	No of shares underlying Depository Receipts (VI)	held (VII) =	ng as a % of total no. of shares(calc ulated as per SCRR.	Number of Voting No o Class eg: X	F Voting F Class eg:	Rights	Total as a % of Total Voting Rights		Total Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	in s		pledged encum	er of shares or otherwise sbared (XIII) As a % of total shares held (Not applicable)(t	Number of equity shares held in dematerilized form (XIV)
(1)	Institutions																		
(a)	Mutual Fund/UTI		0	0	0	0	0	0.00	0	(0	0.00	0	0.00) () (0
	i) ICICI Prudential Dynamic Plan																		
(b)	Venture Capital Funds																		
	Name (xyz)																		
(c)	Alternate Investment Funds		3	639897	0	0	639897	1.78	639897	(639897	1.78	0	1.78	3 (0			639897
	Abakkus Emerging Opportunities Fund -1	AAHTA2563R	1	570300	0	0	570300	1.58	570300	(570300	1.58	0	1.58	3 () (570300
(d)	Foreign Venture Capital Investors																		
	Name (xyz)																		
(e)	Foreign Portfolio Investors		44	560962	0	0	560962	1.56	560962	(560962	1.56	0	1.56) (0			560962
	Name (xyz)																		
(f)	Financial Institutions Banks		3	250			250	0.00	250	(250	0.00	0	0.00) () (150
	Name (xyz)						-												
(g)	Insurance Companies		0	0			0	0.00	0	(0	0.00	0	0.00) (0			0
(h)	Provident Funds/Pension Funds						-												
	Name (xyz)																		
(i)	Any Other (specify)																		
	Sub- Total (B)(1)		50	1201109	0	0	1201109	3.3318571	1201109	(1201109	3.33185711	0	3.331857112	2 (0	((1201009
(2)	Central Government/State Government(s)/President of India																		
I	Sub- Total (B)(2)		0	0	0	0	0	0.00	0	(0	0.00	0	C) () (() (0

(3)	Non- Institutions																
(a)	Individuals - i.Individual																
	shareholders holding nominal share capital up to Rs.2 lakhs.	23226	4857887		4857887	13.48	4857887	0	4857887	13.48	0	13.48					4612643
	ii.Individual shareholders holding nominal share							_			-						
	capital in excess of Rs.2 lakhs.	24	1110154		1110154	3.08	1110154	0	1110154	3.08	0	3.08	() (1110154
(b)	NBFCs registered with RBI	0	0		0	0.00	0	0	0	0.00	0	0.00	(0)		0
	Name (xyz)																
(c)	Employee Trust																
	Name (xyz)																
(d)	Overseas Depositories (holding DRs) (balancing figure)																
	Name (xyz)																
e)	Any Other (pl. specify)																
	i) Directors & Directors Relatives	17	116560		116560	0.32	116560	0	116560	0.32	0	0.32	. () (0	0.00	108719
	ii) Bodies Corporate	362	1891702		1891702	5.25	1891702	0	1891702	5.25	0	5.25	() (0	0	1885818
	iii) Individual NRI- Rep Non Rep.	470	182839		182839	0.51	182839	0	182839	0.51	0	0.51	() (0	0	182839
	iv) Clearing Member	72	73761		73761	0.20	73761	0	73761	0.20	0	0.20	() (0	0	73761
	v) Trusts	5	3692		3692	0.01	3692	0	3692	0.01	0	0.01	() (0	0	3692
	vi) Investor Education & Protection Fund	1	184865		184865	0.51	184865	0	184865	0.51	0	0.51	(0	0	0	184865
	vii) HUF	694	292306		292306	0.81	292306		292306	0.81	0	0.81	() (0	0	292306
	Sub- Total (B)(3)	24871	8713766		8713766	24.17	8713766	0.00	8713766	24.17	0	24.17	() (0	0.00	8454797
	Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)	24921	9914875		9914875	27.50	9914875	0	9914875	27.50	0	27.50	() (0	0	9655806

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %): NIL

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the name of following persons: Institutions/Non Institutions holding more than 1% of total number of shares.

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian,

Table IV - Statement showing shareholding pattern of the Non Promoter-Non Public Shareholder

	Category & Name of the	PAN (II)	Nos of	No of fully	Partly	No of	Total nos.	Shareholding	Number	of Votin	g Rights	held in each	No of shares	Total	Numbe	r of Locked in	Numbe	er of shares	Number of
	shareholders (I)		shareholde	paid-up	paid-up	shares	shares	as a % of total	(lass of s	ecuritie	s (IX)	, ,	Shareholding, as		ares (XII)		or otherwise	equity
	!		r (III)	equity	equity	underlying		no. of					· ·	a % assuming full			encumb	bared (XIII)	shares held
	!			shares held (IV)	shares held (V)	Depository Receipts		shares(calculat ed as per SCRR,	No of	Voting R	ights	Total as a %	convertible securities	conversion of convertible	No.	As a % of	No. (Not	As a % of	in dematerilize
	!			noid (iv)	ricia (v)	(VI)	VI)	1957) As a %	Class eg:	Class	Total	of Total	(Including	securities (as a				total shares	d form
	!							of (A+B+C2)		eg: Y		Voting Rights	Warrants)	percentage of		held	e)	held (Not applicable)	(XIV)
	!							(VIII)				Rigints	(X)	diluted share				аррисавіс)	
	!													capital) (XI)=(VII)+(X) As a					
														% of (A B C2)					
(1)	Custodian/DR Holder		0	0	0	0	0	0	0		0	0	0	0	0	0		NA	0
(a)	Name of DR Holder (If available)																	NA	
(i)	abc																	NA	
(ii)	efg																	NA	
(2)	Employee Benefit Trust (Under																		
	SEBI (Share based Employee Benefit) Regulations, 2014)																	NA	
(a)	Name (abc																	NA	
(a)																		11//	
	Total Non-Promoter - Non Public																		
	Shareholding (C)=(C)(1)+(C)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0		NA	0

Note

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to disclose name of all holders holding more than 1% of total number of shares
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available,